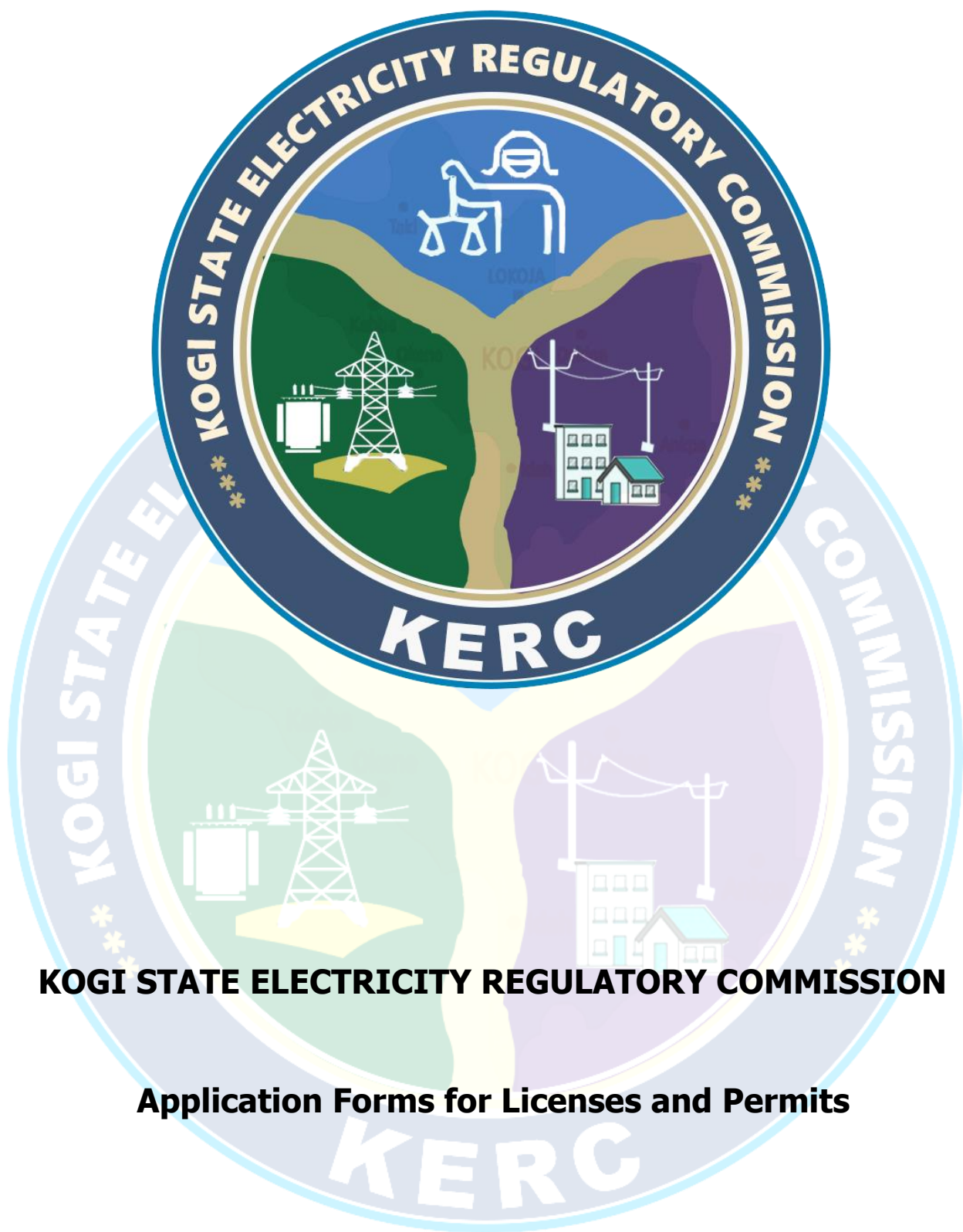




KOGI STATE ELECTRICITY REGULATORY COMMISSION

Application Forms for Licenses and Permits

SCHEDULE 1A

MANDATORY SUBMISSIONS FOR APPLICATIONS FOR GENERATION LICENSES

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (Exclusivity Agreement).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Off-take Agreement or Arrangement.
7. Environmental and Social Impact Assessment (ESIA) Approval Certificate, or Proof of submission and acceptance for processing of the Report on ESIA to the Ministry of Environment, or Details on how effluents and discharges will be managed (if proposed capacity is less than 10MW).
8. Fuel Supply Agreement, or a letter from a fuel supplier and transporter indicating the inclusion of the fuel needs of the applicant in the supply plans of the fuel supplier and transporter.
9. MoU with or Letter of intent from Engineering Procurement Contract (EPC) Contractor (if applicable).
10. MoU with or Letter of Intent from the technical partner (if applicable).
11. Financing Agreements or Letter to fund the project from financial institution(s).
12. Timelines for commissioning of the power plant and on the date when different capacities of the plant will come into operation

SCHEDULE 1B

REQUIREMENTS FOR APPLICATIONS FOR GENERATION LICENSES

A. GENERAL REQUIREMENTS:

- (i) **Site Map:** Showing fuel delivery and storage locations, transmission evacuation site, water pipelines, gaseous, liquid and solid waste disposal areas etc.
- (ii) **Location Map:** Showing Roads, Rail Lines, Transmission Lines, Rivers, Reservoirs, etc.
- (iii) A principal single-line diagram of the project site.
- (iv) Fuel Sourcing, Transportation and Supply Arrangements.
- (v) Water supply and availability analysis for plant and staff use.
- (vi) Plant Design.

(vii) Power Station Information:

- a. Total capacity (MW)
- b. Number of Generating Units
- c. Size of Generating Units (MW)
- d. Expected Annual Generation (MWh)
- e. Proposed Running Regime
- f. Station Load/Load Factor

(viii) Generator Unit Information:

- a. Generator Type
- b. Rating (MVA, MW)
- c. Terminal Voltage (KV)
- d. Rated Frequency
- e. Rated speed (RPM)
- f. Automatic Frequency Control Facility
- g. Rated Power Factor
- h. Unit Efficiency
- i. Short Circuit Ratio
- j. Direct Axis Transient Reactance
- k. Direct Axis Sub-Transient Reactance
- l. Quadrature Axis transient reactance
- m. Generator Cooling (Air-cooled, Hydrogen etc.)
- n. Auxiliary Power Requirements
- o. Type of Exciter (Static or Rotating, Self or Separately Excited)
- p. AVR type
- q. Generator Protection (Relays)
- r. Type and Characteristics of Governor Control System
- s. Generator Unit Transformer Data
- t. Manufacturer's name / Year of Manufacture / Warranty

(ix) Engineering, Procurement and Construction (EPC) Contract. (Please refer to the report on the Guide to the Development of Independent Power Plants)

(x) Details of Phasing of Project, if applicable.

(xi) Auxiliary Systems: Please refer to the report on the Guide to the Development of IPPs.

(xii) Ancillary Services:

- Black Start facilities
- Reactive Power Generation capabilities
- Frequency Response Capability
- Maximum Generation (MAXGEN) capability
- Fast Start capability

(xiii) Report of evacuation studies (For Grid Connection):

- Load Flow Studies
- Stability Studies
- Short Circuit Studies

(xiv) Station Safety Arrangements:

- Emergency Response Plan
- Fire Fighting Facilities
- First Aid
- Safety Awareness and Staff Training Plans
- Personal Protective Equipment (PPE)
- Health & Safety Policy

(xv) Environmental Impact Assessment (EIA) and Waste Management Plan.

(xvi) Expected date of Commissioning

(xvii) Evidence of approval from Transmission Company of Nigeria (TCN) confirming that proposed connection point has capacity to take load which will be fed to it Connection.

B. SPECIFIC REQUIREMENTS:

1. FOR HYDRO PLANTS:

- Agreement/Approval with Ministry of Water Resources
- Map showing proposed Dam Reservoir Area, Water conductor system, fore bay, powerhouse etc.
- Information on the area of village, forestland, agricultural land, etc submerged.

Turbine Unit Information (Hydro Turbines):

- Turbine Type (Francis, Kaplan, Pelton)
- Nominal Head (Meters)
- Nominal Water Flow (M³/S)
- Turbine Capacity (MW)
- Turbine Efficiency
- Hydro Governor Type
- Block diagram for the speed governor
- Noise Level
- Manufacturer's name / Year of Manufacture / Warranty

2. FOR THERMAL PLANTS:

(i) GAS-STEAM PLANTS:

Turbine Unit Information:

- Steam Turbine Type
- Boiler Pressure and Temperature
- Minimum and Maximum Rated Capacity
- Steam Pressure and Temperature (Super Heat and Reheat)

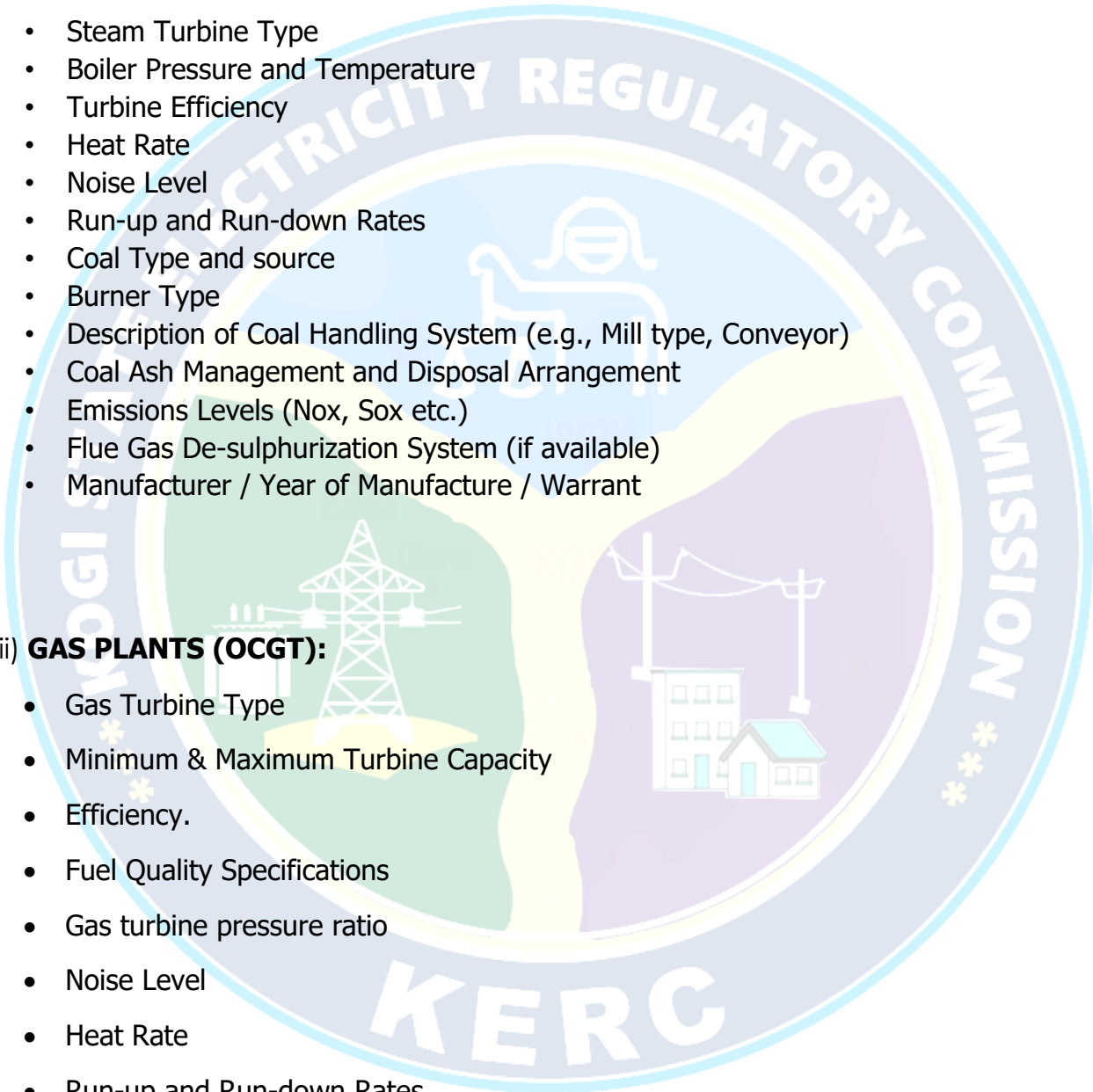
- Heat Rates
- Efficiency at rated capacity
- Fuel quality specifications requirements
- Noise Level
- Run-up and Run-down Rates
- Minimum Synchronizing Generation
- Manufacturer's name / Year of Manufacture / Warranty

(ii) **COAL-STEAM PLANTS:**

- Steam Turbine Type
- Boiler Pressure and Temperature
- Turbine Efficiency
- Heat Rate
- Noise Level
- Run-up and Run-down Rates
- Coal Type and source
- Burner Type
- Description of Coal Handling System (e.g., Mill type, Conveyor)
- Coal Ash Management and Disposal Arrangement
- Emissions Levels (Nox, Sox etc.)
- Flue Gas De-sulphurization System (if available)
- Manufacturer / Year of Manufacture / Warrant

(iii) **GAS PLANTS (OCGT):**

- Gas Turbine Type
- Minimum & Maximum Turbine Capacity
- Efficiency.
- Fuel Quality Specifications
- Gas turbine pressure ratio
- Noise Level
- Heat Rate
- Run-up and Run-down Rates
- Ignition system
- Major Gas Turbine Accessories
- Type of Air Intake System
- Emission Levels
- Manufacturer / Year of Manufacture / Warranty



(iv) OIL PLANTS:

- Turbine Type
- Turbine Efficiency
- Heat Rate
- Noise Level
- Run-up and Run-down Rates
- Oil Type and source
- Burner and Ignition System Type
- Description of Oil Handling System (Storage and Heating facilities)
- Emission Levels
- Manufacturer and Year of Manufacture / Warranty

3. FOR SOLAR PLANTS:

(i) SOLAR THERMAL POWER GENERATION:

- Type of Solar Thermal Power Generation technology employed
- Terminal Voltage
- Station load and Station Load factor
- Ancillary Services provided
- Annual Generation
- Rated Power factor
- Heat Rate
- Phases of project
- Total capacity of Plant
- Detailed Diagram of plant arrangements on site
- Turbine type and its accessories
- Boiler type and its accessories
- Collectors type and its accessories
- Cooling system for the steam condensers
- Collector isolation

(ii) SOLAR PHOTOVOLTAIC CELLS TECHNOLOGY:

- Maximum Cell Voltage
- Type of Solar Cell employed
- Maximum generation from PV Cells
- Type of Solar Panels and Inverters
- Capacity of Solar Panels and Inverters
- Building Penetrations/Water Damages
- Batteries Capacity

4. FOR WIND FARM:

(i) Wind Speed:

- High-speed wind site or low-speed wind site

(ii) **Wind farm Information:**

- Total capacity (MW)
- Number of Blades
- Rated Capacity (MW)
- Rotor Diameter (m)
- Rotor Speed (rpm)
- Power Factor
- Rated Wind (m/s)
- Station Load
- Frequency
- Cut-in Wind Speed (m/s) and Cut-out Wind Speed (m/s)
- Swept Area (m²)

(iii) **Design Data (Wind Turbine):**

- Generator Type
- Gearbox Type
- Converter Type
- Braking System Type
- Yaw System Type
- Control System
- Offshore Container Type

Onshore version (optional)

- Light Protection System
- Integrated Hoisting System
- Hoisting System

(iv) **Noise Reduction:**

- Sound reduced gearbox
- Noise Emissions (in decibel)
- Noise reduced nacelle
- Noise insulation of generator
- Impact noise insulation of the Gearbox
- Rotor Blade with minimal noise
- Noise reduced operation (optional)

(v) **Tower Design Data:**

- Tower Type
- Hub height of the Tower (site dependent)
- Power Control

5. FOR EMBEDDED GENERATION/ OFF-GRID GENERATION:

- Total Capacity per site
- Number of Generating Units per site
- Fuel Type
- Size of Generating Units (MW & MVA)
- Terminal Voltage
- Rated Power Factor
- Reactive Power Capacity (if any)
- Noise Level
- System Protection
- Waste management plan or EIA (If required)
- Agreement or Arrangement with Distribution Company for Network use.
- Manufacturer's name / Year of Manufacture / Warranty

SCHEDULE 1D

REQUIREMENTS FOR APPLICATIONS FOR DISTRIBUTION LICENSES:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Power Purchase Agreement with Generating Licensee or Resale Agreement with Trading Licensee
7. Network Agreement with Transmission Licensee
8. Retail Agreement with end-user for supply of power
9. MoU with or Letter of intent from Engineering Procurement Contract (EPC) Contractor (if applicable).
10. MoU with or Letter of Intent from the technical partner (if applicable).
11. Financing Agreements or Letter to fund the project from financial institution(s).
12. A detailed forecast of the demand for electricity in the area of supply
13. Project location
14. Line Voltage Level (33KV, 11KV, 415V & 230V)
15. Connection Point(s)

16. Pole type (wood, Concrete, Steel, etc.)
17. Installation method (overhead, underground, etc.)
18. Detailed project design:
 - Site map
 - Single line Diagram
 - Control Rooms
 - Sub-stations
19. Line Length
20. Distribution Environmental Impact Assessment
21. Transformer(s) type & data (attach nameplate data of all transformers)
22. Connection agreement with TCN
23. Impact of connecting the distribution system to TCN networks
24. Projected Peak Load to be connected
25. Maximum Capacity of Network (MW, MVar)
26. Bill of Engineering Measurement and Evaluation (BEME) of the project
27. Details of protection Equipment
28. Health and Safety Policy

SCHEDULE 1E

REQUIREMENTS FOR APPLICATIONS FOR TRANSMISSION SYSTEM OPERATOR (SO) LICENSES:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Network configuration
7. Line Voltage Level (132kV and above)
8. Interconnection points
9. Ownership of main, supplementary and Regional Control Centers

10. Major Control facilities / equipment available at the control centers
11. Communication Facilities (PLC, Radio links, VoIP, Fibre Optic etc.)
12. System Planning (including operation) capability
13. System Operators training and certification facility or alternative
14. Ability to procure and pay for ancillary
15. Functional Energy Management System Equipment / Facilities
16. Operational Procedure for Dispatch Operators (if available)
17. Health & Safety Policy

SCHEDULE 1F

REQUIREMENTS FOR APPLICATIONS FOR TRADING LICENSE:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Financing Agreements or Letter from financial institution(s).
7. Power purchase Agreement (PPA) with Generation Licensee
8. Network Agreement with Transmission Licensee
9. Retail Agreement with end-user for supply of power or Resale Agreement with Distribution Licensee

SCHEDULE 1G

MANDATORY CONTENTS OF THE BUSINESS PLAN FOR APPLICATION FOR A LICENSE:

The Business Plan submitted by the applicant pursuant to Schedule A should include the following;

1. The Business Name

- Main Object of the business
- Incorporation date
- Authorized and Paid-Up Share Capital
- Name of shareholders and their respective holdings
- Information on related or commonly owned business.

2. **Nature of the proposed business.**

- Proposed electricity business for which the License is being sought
- Company/promoters experience in the line of business.
- Historical Financials (Audited Accounts) for at least the past three years. If the company is new and has no historical financials, the Historical financials of the major corporate sponsor if any should be provided.

3. **Financial Projection (for the duration of the license or a minimum of 5 years)**

- Profit and Loss Account (P&L)
- Balance Sheet
- Cash flow
- Break even analysis
- Net Present Value (NPV)
- Internal Rate of Return (IRR)
- Payback Period

4. **Funding needs and sources**

- The Project cost
- The Financing method
- The cost implication of each financing source.
- Proof of debt source (offer letter from the lenders or a letter of undertaking from the financial institution.
- Shareholders agreement
- Proposed term for debt financing or loan agreement (if any)

5. **Assumptions/basis for the projected financials**

- Turnover (Plant capacity, Energy sent out, tariff)
- Capital expenditure
- Operational expenditure (especially salaries and wages, Maintenance, fuel pricing).
- Dividend policy
- Depreciation
- Inflation

6. **Detailed market study report, covering the following areas:**

- Supply analysis with information/data on names, location and installed capacities of existing and known potential competitors, Trend of future supply (local + import).
- Demand analysis comprising factors influencing demand, estimate of domestic demand, export potentials – all based on current and future trend.
- Market prospects with information on existing planned marketing arrangements including agreements reached with potential off takers /customers, current and proposed tariff vis-à-vis competitors. The pricing strategy as well as the proposed tariff structure intended. The tariff assumptions should indicate whether a single or multiple tier tariff policy is proposed i.e. energy only, or a combination of energy, capacity and ancillary service.

7. **Technology Requirements**

- The technology to be adopted.
- The advantages it offers against other competing technologies.
- The source of the technology
- Quality intentions or targets
- Technical partners (resume and experience in similar project).
- Operation and maintenance agreements
- ICT support strategy

8. **Machinery and equipment.**

- Comprehensive list of machinery with detailed specifications source(s) and prices.
- The terms of equipment supply.
- Maintenance contract
- Proposed procurement process.

9. **A general overview of the proposed power station (for application for Generation Licenses)**

- Location of the station and site plan
- Decision to make a site
- Initial capacity
- Forecast future capacity and availability
- General dispatch /arrangement
- Evacuation arrangement

10. **Organizational Structure**

- Organogram
- Experience and expertise of the management team of the company
- Experience and expertise of the technical staff manning the power plant
- Job specification/schedule
- Number of people to be employed (separated into technical and nontechnical category)
- Number of expatriates and the position they will hold

11. **Project Risk and Mitigants**

- Political risk and Mitigants
- Business risk and Mitigants
- Financial risk and Mitigants
- Other identified risks and Mitigant



SCHEDULE 2

APPLICATION FORM FOR A LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1.0 PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name of Proposed Business if Different from above

Name: _____

Location: _____

Postal address: _____

Tel: _____

Mobile phone: _____

E-mail: _____

Website Address: _____

1.3 Name and details of Contact Person

Name: _____

Physical

Address: _____

Postal

Address: _____

Tel: _____
 Mobile phone _____
 Email _____
 Website _____
 Address: _____

2.0 LEGAL STATUS OF APPLICANT

2.1 Indicate legal status of Applicant (Tick relevant option)

1. Sole Proprietorship
2. Partnership
3. Public Limited Liability Company
4. Private Limited Liability Company
5. Cooperative Society
6. Other (please specify)

(Attach Certificate of Registration, Certificate of Incorporation, Memorandum and Articles of Association, Deed of Partnership, Deed of Trust, as applicable)

2.2 List and Particulars of Shareholders

Name	Address	Nationality	Country of usual residence

2.3 State if any shareholder has ever been convicted of a criminal offence in Nigeria or in any other country: _____

If yes, details of conviction:

2.4 List and Particulars of Directors

Name	Address	Nationality	Country of usual residence

2.5 State if any director has ever been convicted of a criminal offence in Nigeria or in other Country: _____

If yes, Details of conviction: _____

3.0 TYPE OF LICENSE REQUIRED

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

3.1 Existing License

Does the Applicant have an existing License issued by the Commission?

If yes, state the nature of the License, date issued and the License number.

3.2 Affiliate Ownership/Relationship

Does the Applicant own more than ten percent (10%) shareholding in another entity that has applied for a License or has been granted a License by the Commission?

If yes, state the name of the entity, the nature of the application or License, the date issued and the License number.

3.3 Refusal, Suspension or Cancellation of License

Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

(b) If yes, give details of the refusal, suspension, and/or cancellation.

3.4 FINANCIAL STATUS OF APPLICANT

Share Capital of the Applicant

(Authorized)

(Fully Paid)

3.5 Indebtedness

3.6 Please provide Certified Audited Financial Statements and Accounts for the last 3 years (or latest 3 years) prior to Application (if applicable).

3.7 Bankers and Financial References

Bankers

Name and Address of Bankers inside and outside Nigeria (including telephone)

Name	Address	Contact Person

(NOTE: The Commission may independently verify with your bankers)

3.8 Other Important Referees on the Applicants Financial Status

Name	Address	Contact Person

3.9 Sources of Funding for the Proposed Project

a) Share Capital contribution (specify foreign or local)

b) Loan capital (specify source and provide evidence)

Others (specify)

3.10 MAIN BUSINESS ACTIVITIES OF APPLICANT

Please indicate the main business activities the Applicant is currently engaged in:

3.11 TECHNICAL CAPACITY AND MANAGERIAL EXPERIENCE

Technical Capacity and Managerial Competence of Applicant

Please provide detailed statement of Applicant's technical and managerial competence and experience to undertake the proposed project. (Use additional sheets if necessary)

(a) Technical Capacity

(b) Managerial Competence

Describe technical and industrial support from domestic and foreign sources: (a) Foreign

(b) Domestic

3.12 DESCRIPTION OF PROPOSED PROJECT

Please provide a detailed description of the project:

(Please attach the detailed feasibility study)

Project site/utilities

(a) Project site (attach title document to the land, relevant maps and drawings and state whether there is access roads required):

(b) Site map indicating access roads, generation plant, transmission and distribution lines and substations required for the project (Attach map):

(c) Provide technical aspects/design of the project

State Initial Capacity of the Proposed Project;

(a) Amount of power to be initially generated, transmitted, distributed or supplied:

(b) Proposed future capacity

Implementation Schedule of the Project

Present land use at the Project Site

State if there is need to access public and/or private land:

Specify Consents required by other public authorities to undertake the project and their status (attach relevant documents):

Consent Required and from whom	Description of Activity	Legal Provisions e.g. Land Use Act etc.	Status

3.13 Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

3.14 IMPACTS OF THE PROJECT**Environmental and Social Impact Assessment**

Please attach the Environmental Impact Assessment and approval from the Federal Ministry of Environment.

Any Other relevant Information (Use additional sheets if appropriate)

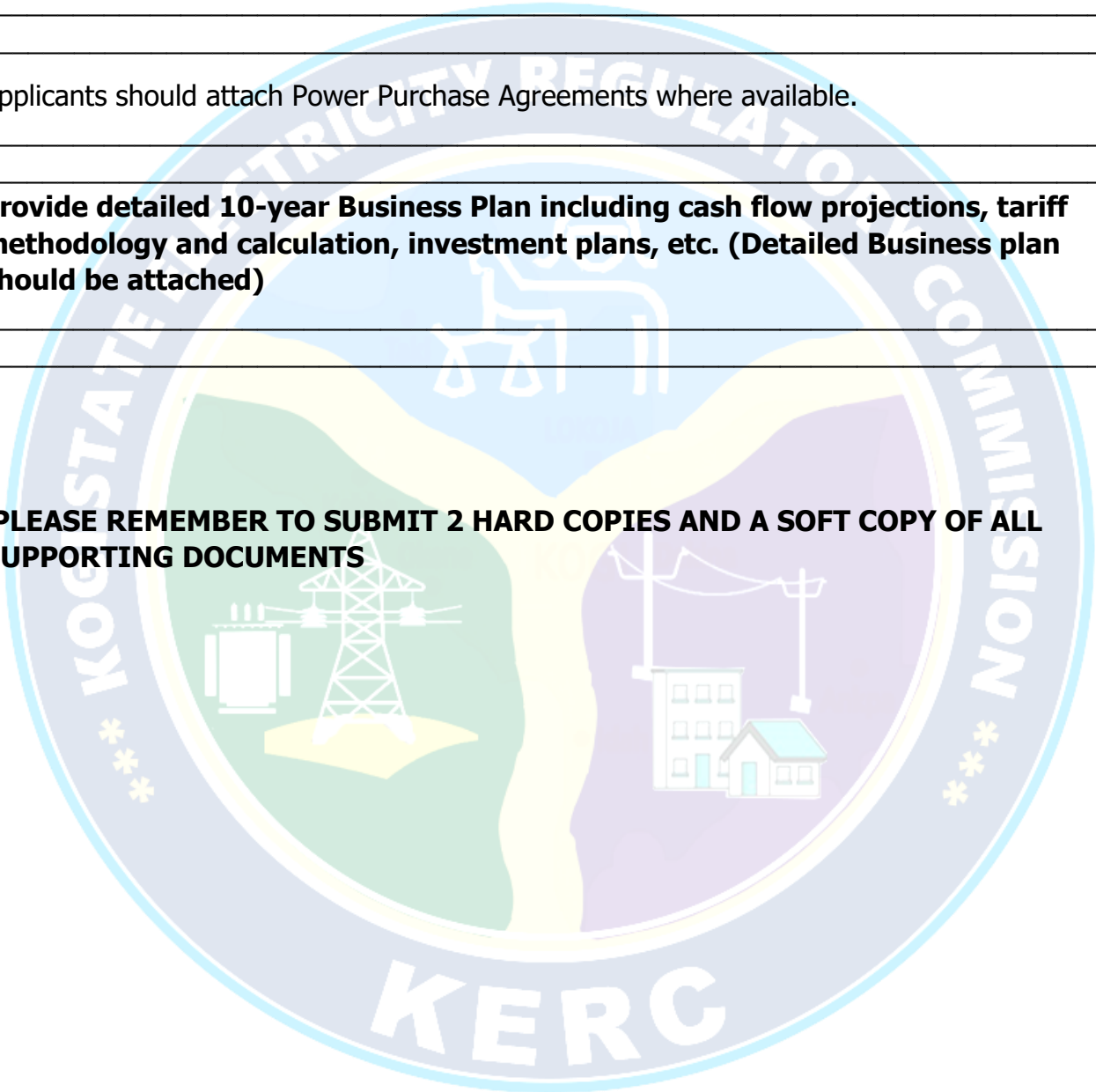
3.15 COMMERCIAL ASPECTS OF THE PROJECT

State the geographical area(s) in which the License is required

Applicants should attach Power Purchase Agreements where available.

Provide detailed 10-year Business Plan including cash flow projections, tariff methodology and calculation, investment plans, etc. (Detailed Business plan should be attached)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



4.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

The project is not unlawful or contrary to the interest of the Federal Republic of Nigeria. I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name: _____

Name: _____

MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH

FOR OFFICIAL USE ONLY

1. Date of submission of Application _____

2. Fees Paid and Receipt Number _____

3. Results of Verification for completeness

4. Newspapers and Dates in which Application is advertised:

5. Results of any Public Hearing

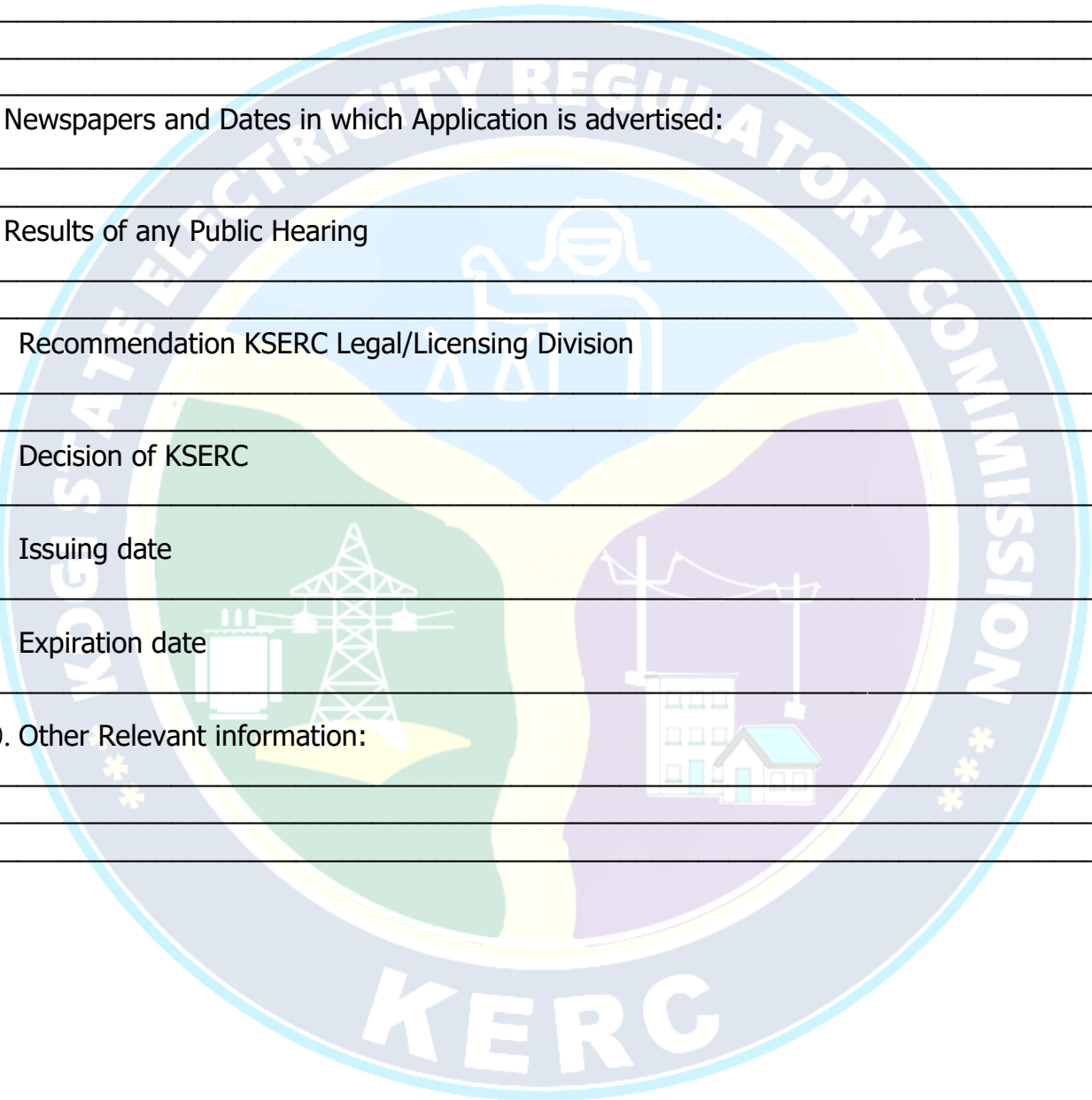
6. Recommendation KSERC Legal/Licensing Division

7. Decision of KSERC

8. Issuing date

9. Expiration date

10. Other Relevant information:





SCHEDULE 3

APPLICATION FORM FOR AMENDMENT OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1.0 PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____
Physical address: _____
Postal address: _____
Tel: _____
Mobile Phone: _____
E-mail: _____
Website Address: _____

1.2 Name of Proposed Business if Different from above

Name: _____
Location: _____
Postal address: _____
Tel: _____
Mobile phone: _____
E-mail: _____
Website Address: _____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License

(a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense? _____ Yes _____ No

2.2 Previous Application(s)

(a) Have you applied previously for an amendment of the terms and conditions of the License?

(b) Has the Applicant ever been refused an application to amend the terms and conditions of the License?

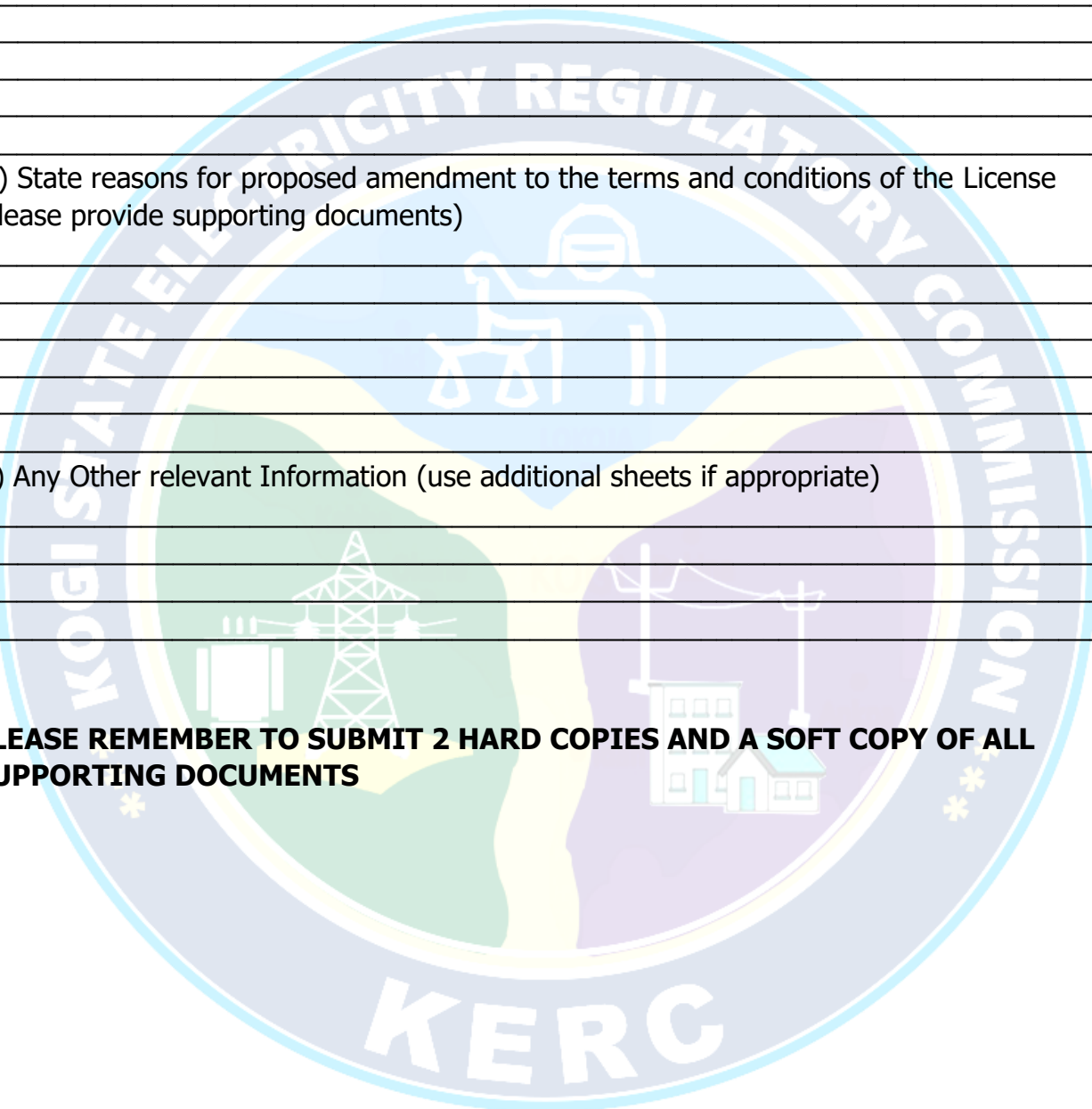
If yes, give details of the refusal.

2.3 Proposed Amendment(s) (a) State the Term(s), Condition(s), and/or Part(s) of the License to be affected by the proposed amendment

(b) State reasons for proposed amendment to the terms and conditions of the License (please provide supporting documents)

(c) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name: _____

Name: _____

MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH

FOR OFFICIAL USE ONLY

1. Date of submission of Application for Amendment

2. Fees Paid and Receipt Number

3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

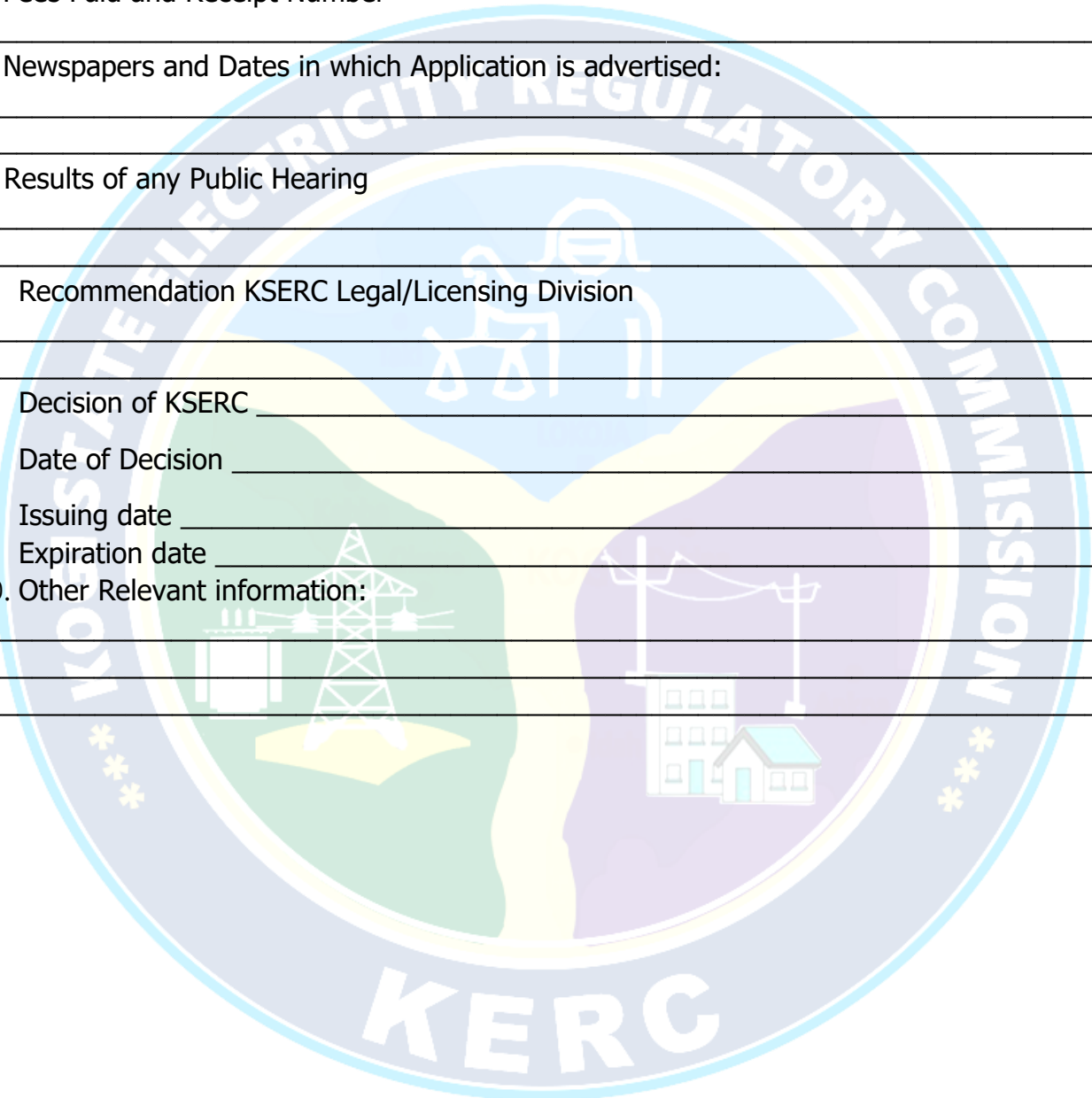
6. Decision of KSERC

7. Date of Decision

8. Issuing date

9. Expiration date

10. Other Relevant information:





SCHEDULE 4
APPLICATION FORM FOR RENEWAL OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1. PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name and details of Contact Person

Name: _____

Physical Address: _____

Postal Address: _____

Tel: _____

Mobile phone _____

E-mail _____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License (a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

2.2 Previous Application(s)

(a) Have you applied previously for a renewal of your License?

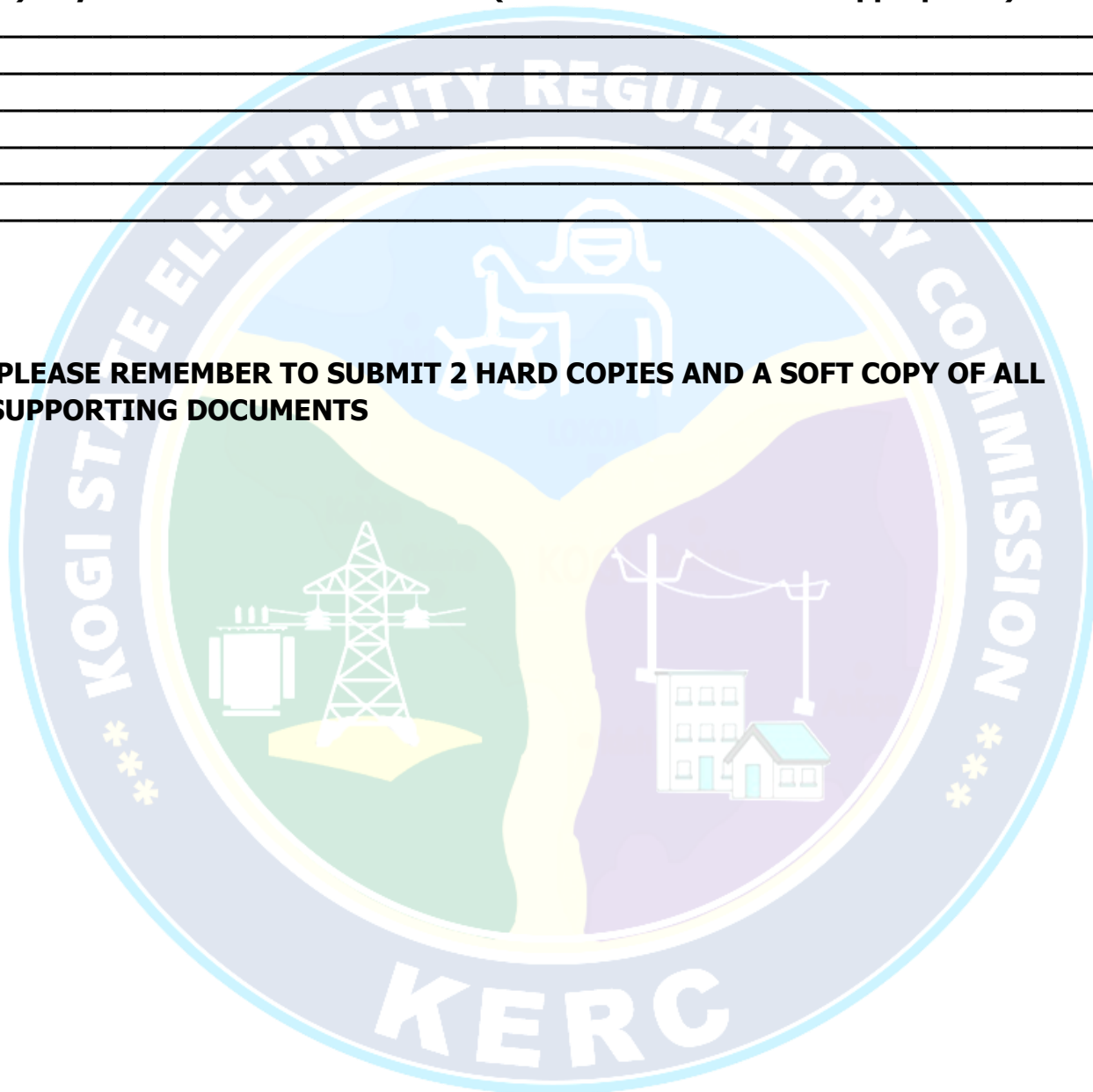
(b) Has the Applicant ever been refused an application to renew the License?

If yes, give details of the refusal.

2.3 Term of Proposed Renewal (a) How many years does the applicant want to renew the License for? (Note: Renewal cannot be for more than the initial term of the License being renewed)

(b) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name: _____

Name: _____

MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH

FOR OFFICIAL USE ONLY

1. Date of submission of Application for Renewal _____

2. Fees Paid and Receipt Number _____

3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

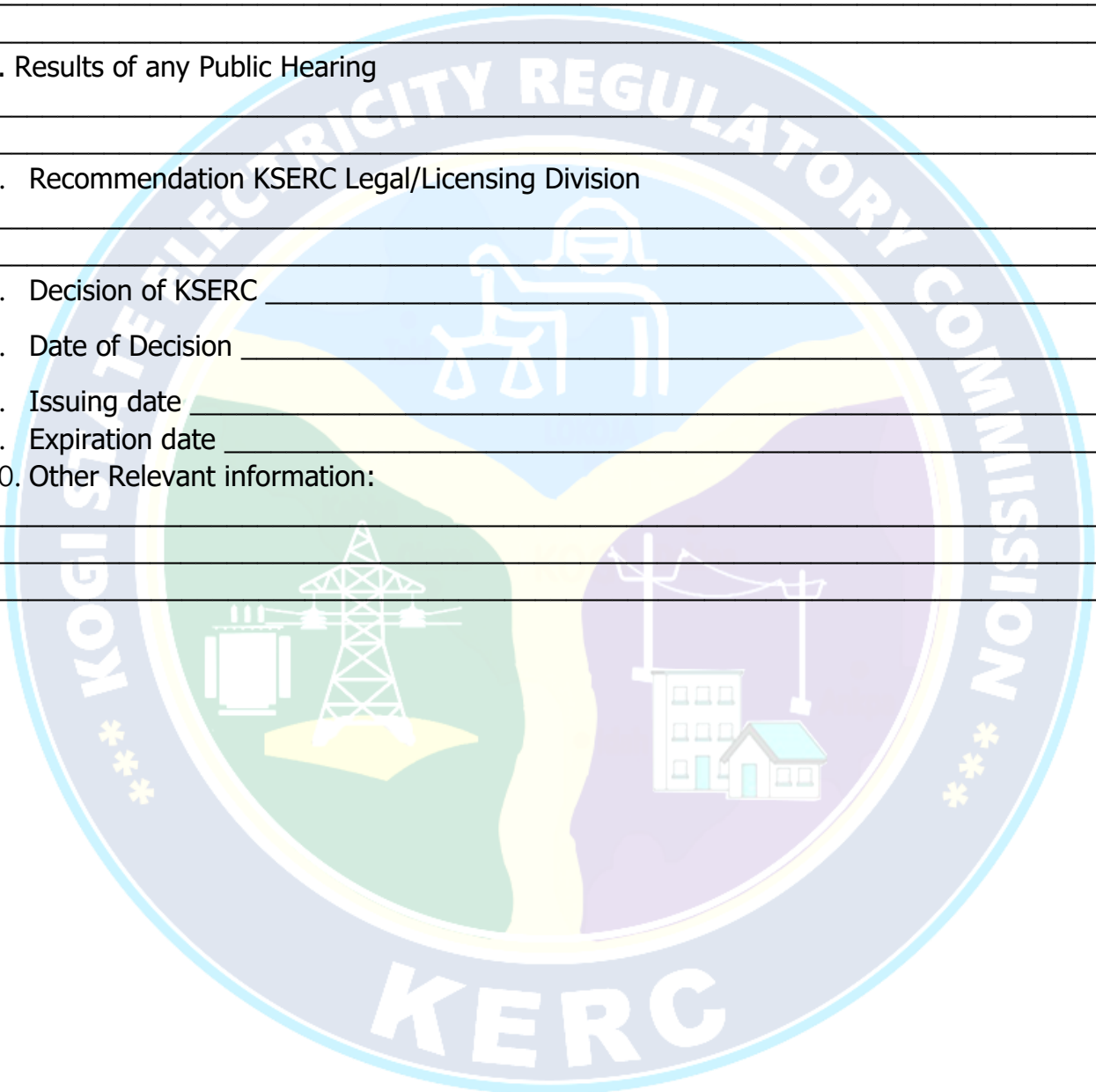
6. Decision of KSERC _____

7. Date of Decision _____

8. Issuing date _____

9. Expiration date _____

10. Other Relevant information:





SCHEDULE 5
APPLICATION FORM FOR EXTENSION OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1. PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name and details of Contact Person

Name: _____

Physical Address: _____

Postal Address: _____

Tel: _____

Mobile phone _____

E-mail _____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License (a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

2.2 Previous Application(s) (a) Have you applied previously for the extension of the tenure of your License?

(b) Has the Applicant ever been refused an application to extend the tenure of the License?

If yes, give details of the refusal.

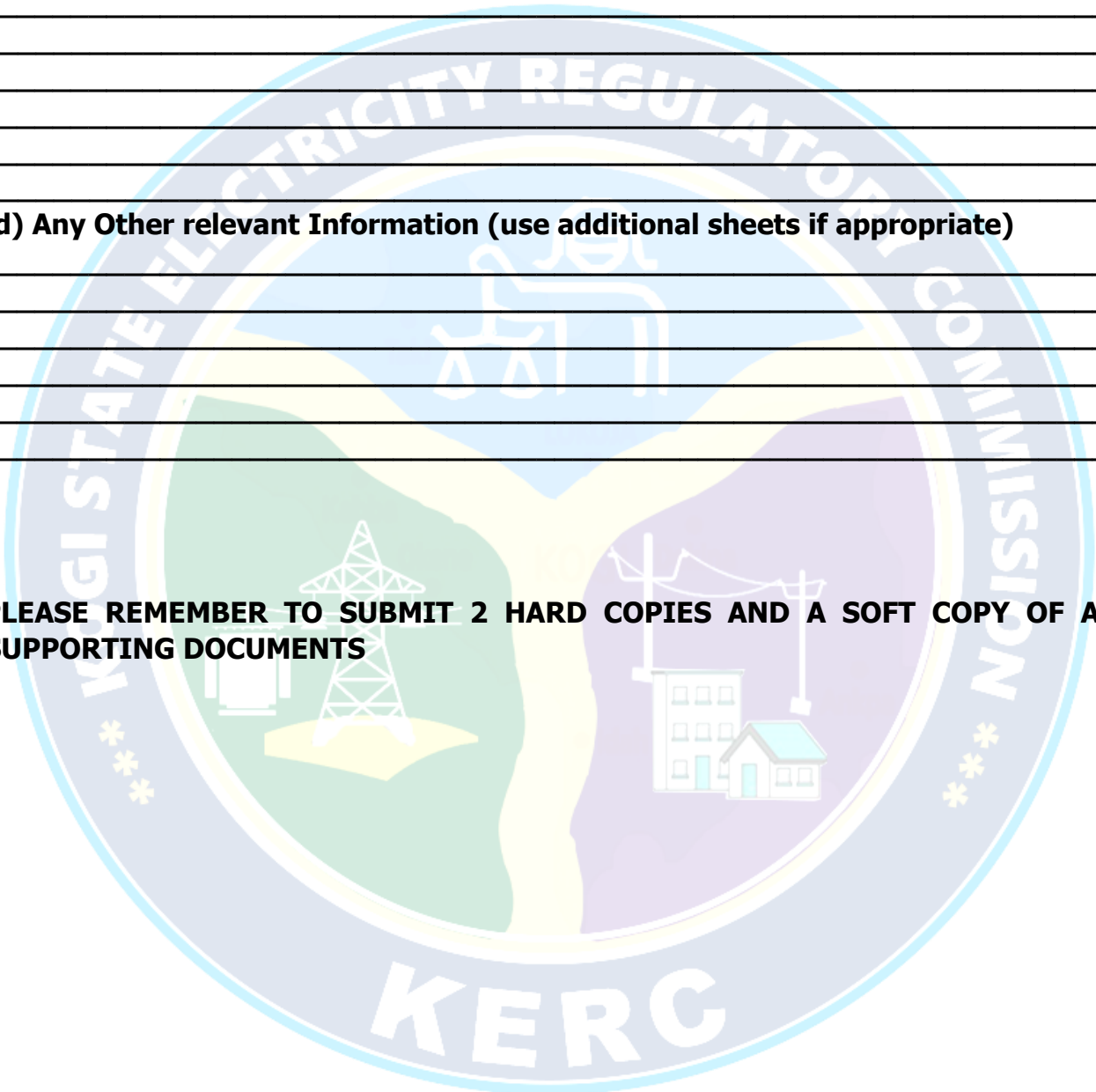
2.3 Term of Proposed Tenure Extension

(a) How many years does the applicant want to extend the tenure of the License? (Note: Extension cannot be for more than 5years)

(b) Reasons for applying for tenure extension (use additional sheets if appropriate)

(d) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name:

Name:

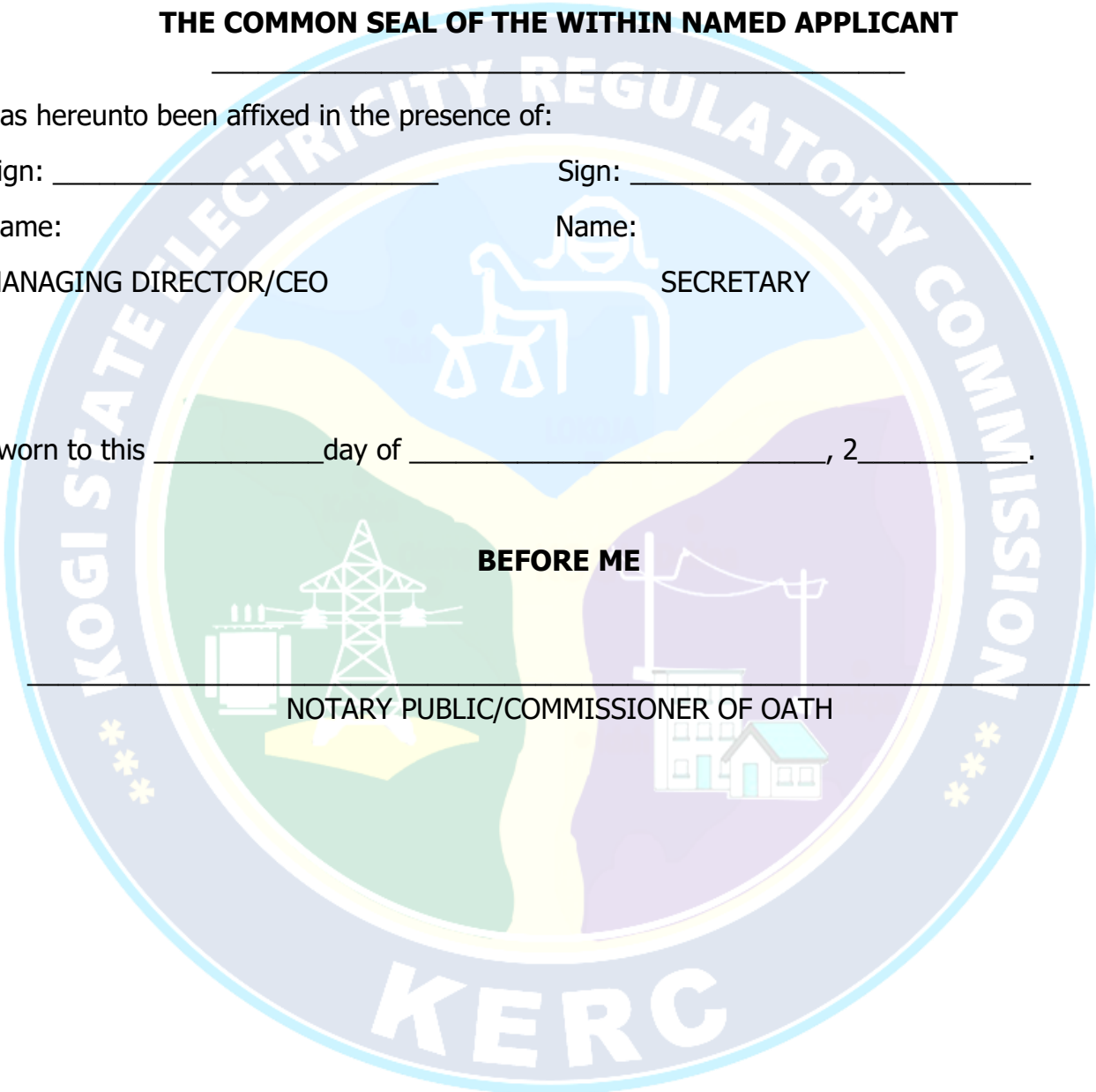
MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH



FOR OFFICIAL USE ONLY

1. Date of submission of Application for Renewal _____
2. Fees Paid and Receipt Number _____
3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

6. Decision of KSERC _____
7. Date of Decision _____
8. Issuing date _____
9. Expiration date _____
10. Other Relevant information:

ENGR: IBRAHIM SUNDAY ABDINAAIS

[Signature]
14/07/2025

