

KOGI STATE ELECTRICITY REGULATORY COMMISSION

APPLICATION FORMS

Kindly indicate the type of application form being submitted below:

Application Form for License (Schedule 2 of the KERC Application for Licenses Regulations, 2025) Page 2 - 12	SIMM
Application Form for Amendment of License (Schedule 3 of KERC Application for Licenses Regulations, 2025) Page 13 - 17	
Application Form for Renewal of License (Schedule 4 of KERC Application for Licenses Regulations, 2025) Page 18 - 22	G
Application Form for Extension of Tenure of License (Schedule 5 of KERC Application for Licenses Regulations, 2025.) Page 23 - 27	



SCHEDULE 2

APPLICATION FORM FOR A LICENSE

(Pursuant to S.12(3)(e) Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is <u>incomplete</u> unless all required documents are submitted and the application is accompanied by the appropriate processing fee

0 1	PARTICULARS OF APPLICANT
.1	Names, Physical and Postal Address of Applicant
	Name:
	Physical address:
U	Postal address:
	Tel:
	Fax:
	Mobile Phone:
	E-mail:
	Website Address:
.2	Name of Proposed Business if Different from above Name:
	Location:
	Postal address:
	Fax:
	Mobile phone:
	E-mail:
	Website Address:

1.3	Name	and deta	ils of Contact Person					
	Na	me:						
			dress:					
	Ро	stal Addr	ess:					
	Te	l:						
		Mobile phone						
	E-r	E-mail						
	We	ebsite Ad	dress:		LA			
	Fa	x:						
						A		
2.0	LEGA	L STATU	S OF APPLICANT					
2.1	Indica	te legal s	tatus of Applicant (Tick re	elevant optio	n)			
	1.	Sol	e Proprietorship					
	2.	2. Partnership						
	3.	3. Public Limited Liability Company						
	4.	4. Private Limited Liability Company						
	5.	5. Cooperative Society						
	6.	Oth	er (please specify)			V V		
	G I					, <u>v</u>		
(Attac	ch Certif <mark>ic</mark>	ate of Re	gistration, Certificate of <mark>Inc</mark>	orporation, Me	emorandum	and Articles of Association		
Deed	of Partne	rship, De	eed of Trust, as applicab <mark>le)</mark>					
2.2	List an	nd Partic	ulars of Shareholders					
Name	9		Address	Na	ationality	Country of usual residence		
			KE					

2.3	State if any s	hareholder has ever be	en convicted	d of a criminal of	fence in Nigeria or in a
yes,	details of convid	ction:			
2.4	l ist and Parti	culars of Directors			
lame	List allu Farti	Address		Nationality	Country of usual
			DEC	- tours in any	residence
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	TYPE OF LIC	ENCE DECLUDED			
3.0	TTPE OF LIC	ENSE REQUIRED			
	CENEDATIO	NA			
	GENERATIO				
		1. Grid-Connected			
		2. Off-Grid			
		3. Embedded			
	DISTRIBUTI				
		1. Grid-Connected			
	7	2. Off-Grid			
	TRANSMISS	SION	'	1	
	SYSTEM OF	PERATION			
	TRADING				

If y	es, state the nature of the License, date issued and the License number.			
	liate Ownership/Relationship			
	es the Applicant own more than ten percent (10%) shareholding in another entity that			
	lied for a License or has been granted a License by the Commission?			
If y	es, state the name of the entity, the nature of the application or Licens <mark>e, t</mark> he date issu			
7.7	License number.			
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N				
Ref	usal, Suspension or Cancellation of License			
	Has the Applicant ever been refused a License or had its License suspended and/or cand			
bv :	he Commission?			
by ·	he Commission?			
by .				
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1				
1				
(b)	If yes, give details of the refusal, suspension, and/or cancellation.			
(b)	If yes, give details of the refusal, suspension, and/or cancellation. ANCIAL STATUS OF APPLICANT			
(b)	If yes, give details of the refusal, suspension, and/or cancellation.			
(b)	If yes, give details of the refusal, suspension, and/or cancellation. ANCIAL STATUS OF APPLICANT			

Ponkoro and Einar			
Bankers and Financial References Bankers			
Name and Address	of Bankers inside and outside Nig	eria (including telephone and fax	
Name	Address	Contact Persor	
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	XX		
7	Hamain		
	A		
KERC may indepe	ndently verify with your banker		
Other Important R	<mark>eferees on the</mark> Applicants Finan	icial Status	
*			
Name	Address	Contact Persor	
Name	Address	Contact Persor	

3.9	Sources of Funding for the Proposed Project
a) Sh	are Capital contribution (specify foreign or local)
b) Loa	an capital (specify source and provide evidence)
	CITY REGUL
Other	rs (specify)
+	
3.10	MAIN BUSINESS ACTIVITIES OF APPLICANT
Pleas	e indicate the main business activities the Applicant is currently engaged in:
	A
3.11	TECHNICAL CAPACITY AND MANAGERIAL EXPERIENCE
Techi	nical Capacity and Managerial Competence of Applicant
	e provide detailed statement of Applicant's technical and managerial competence and experience
	dertake the proposed project. (Use additional sheets if necessary)
(a)	Technical Capacity
(4)	Common Capacity
(b)	Managerial Competence

(a)	Foreign
(b)	Domestic
	V REGIA
3.12	DESCRIPTION OF PROPOSED PROJECT
Pleas	e provide detailed description of the project:
(Pleas	se attach the detailed feasibility study)
-	ct site/utilities
	roject site (attach title document to the land, relevant maps and drawings and state whether there
are a	ccess roads required):
+	
+	
(F) C:	
	te map indicating access roads, generation plant, transmission and distribution lines and
SUDST	ations required for the project (Attach map):
(a) Dr	ovide technical concets/decign of the project
(C) FI	ovide technical aspects/design of the project
State	Initial Capacity of the Proposed Project
	nount of power to be initially generated, transmitted, distributed or supplied:
(a)/All	is and or portor to be initially generated, transmitted, distributed or supplied.

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Present land use at the P	roject Site		
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State if there is used to a		land.	
State if there is need to a	ccess public and/or private	iand:	
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Specify Consents require	ed from other public authori	ties to undertake the p	roject and their
Specify Consents require status (attach relevant doc		ties to undertake the p	roject and their
		ties to undertake the p	roject and their
		Legal Provisions e.g. Land Use Act etc.	roject and their Status
status (attach relevant doo Consent Required and	cuments):	Legal Provisions e.g. Land Use Act	
status (attach relevant doo Consent Required and	cuments):	Legal Provisions e.g. Land Use Act	
status (attach relevant doo Consent Required and	cuments):	Legal Provisions e.g. Land Use Act	
status (attach relevant doo Consent Required and	cuments):	Legal Provisions e.g. Land Use Act	
status (attach relevant doo Consent Required and	cuments):	Legal Provisions e.g. Land Use Act etc.	
Consent Required and from whom	Description of Activity business operation or Plant	Legal Provisions e.g. Land Use Act etc.	Status

Environmental Impact Assessment

IMPACTS OF THE PROJECT

3.14

Please attach the Environmental Impact Assessment and approval from the Federal Ministry of Environment.

Any Other relevant Information (Use additional sheets if appropriate)
TV REGIL
3.15 COMMERCIAL ASPECTS OF THE PROJECT
State the geographical area(s) in which the License is required
Applicants should attach Power Purchase Agreements where available.
Provide detailed 10-year Business Plan including cash flow projections, tariff methodology and
calculation, investment plans, etc. (Detailed Business plan should be attached)
*

Dated this	day of	, 2
	REGU,	
HE COMMON SEAL OF THE WIT	HIN NAMED APPLICANT	
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Les Land		
ign:	Sign:	
ame: IANAGING DIRECTOR/CEO	Name: SECRETARY	
ANAGING BINEGIONGES	GEGRETARY	
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wo <mark>r</mark> n to thisday of		, 2
	BEFORE ME	

1.	Date of submission of Application
2.	Fees Paid and Receipt Number
3.	Results of Verification for completeness
4.	Newspapers and Dates in which Application is advertised:
5.	Results of any Public Hearing
6.	Recommendation KERC Legal/Licensing Division
7.	Decision of KERC
8.	Issuing date
9.	Expiration date
10.	Other Relevant information:



SCHEDULE 3

APPLICATION FORM FOR AMENDMENT OF LICENSE (Pursuant to S.12(3)(e) Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is <u>incomplete</u> unless all required documents are submitted and the application is accompanied by the appropriate processing fee

1.0	PARTICULARS OF APPLICANT
1.1	Names, Physical and Postal Address of Applicant
	Name:
	Physical address:
	Postal address:
	Tel:
	Fax:
	Mobile Phone:
	E-mail:
	Website Address:
1.2	Name and details of Contact Person
1.2	Name:
	Physical Address:
	r Hysical Address.
	Postal Address:
	Tel:
	Mobile phone
	E mail

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

2.1

2.2

GENERATION					
1.	Grid-Connected			7	
2.	Off-Grid				
3.	Embedded			-	
DISTRIBUTION	N				
1.	Grid-Connected	V DE			
2.	Off-Grid				
TRANSMISSIC	ON				
SYSTEM OPE	RATION				
TRADING					
			A		
Current License					
a) License Num					
a) LICETISE INUM	IDEI				
b) Expiration da	to of the License				
Z) Expiration de	ite of the License				
S, Expiration de	ite of the License				1 5
Z, Expiration de	ite of the License	KO/			188
	icant ever been re	efused a License	e or had its Lice	nse suspen	ded and/or
(c) Has the Appl	icant ever been re	efused a License	e or had its Lice	nse suspen	ded and/or
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(c) Has the Appl	icant ever been re	efused a License	e or had its Lice	nse suspen	ded and/or
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(c) Has the Appl cancelled by the If yes, gi	icant ever been recommission? ive details of the recommission of	efusal, suspens	ion, and/or cand	ding or pla	**

If yes,	give details of the refusal.
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Proposed Am	nendment(s)
(a) State the To	erm(s), Condition(s), and/or Part(s) of the License to be affected by the pr
amendment	
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(b) State reaso	ons for proposed amendm <mark>ent to t</mark> he terms and conditions of the License
	e supporting documents)
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(a) Any Other r	relevant Information (use additional sheets if appropriate)

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this	day of		, 2
THE COMMON SEAL OF	THE WITHIN NAME	ED APPLICANT	
AR		-47 ₀	
			9. \
Has hereunto been affixe	d in the presence of:		
₹ //			
Sign:		Sign:	
			V.
Name:		Name:	U
MANAGING DIRECTOR/	CEO	SECRETARY	
Sworn to this	day of		
	BEFORE	ME	

NOTARY PUBLIC/COMMISSIONER OF OATHS

1.	Date of submission of Application for Amendment of License:
2.	Fees Paid and Receipt Number:
3.	Newspapers and Dates in which Application for Amendment is advertised:
4.	Results of any Public Hearing:
5.	Recommendation of KERC Legal, Licensing & Enforcement Division:
6.	Decision of KERC:
7.	Date of Decision:
8.	Issuing date
9.	Expiration date
10.	Other Relevant information:



SCHEDULE 4

APPLICATION FORM FOR RENEWAL OF LICENSE

(Pursuant to S.12(3)(e) Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is <u>incomplete</u> unless all required documents are submitted and the application is accompanied by the appropriate processing fee

PARTICULARS OF APPLICANT

1.1	Names, Physical and Postal Address of Applicant
	Name:
	Physical address:
	A
	Postal address:
	Tel:
	Fax:
	Mobile Phone:
	E-mail:
	Website Address:
1.2	Name and details of Contact Person
	Name:
	Physical Address:
	Postal Address:
	Tel:
	Mobile phone
	E-mail

GENERATION	N .	
1.	Grid-Connected	
2.	Off-Grid	
3.	Embedded	
DISTRIBUTIO	N	
1.	Grid-Connected	
2.	Off-Grid	
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SYSTEM OPE	RATION	
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(b) Expiration d	ate of the License	
	Α.	
(c) Has the App	licant ever been refuse	ed a License or had its License suspended and/or
(c) Has the App	Α.	ed a License or had its License suspended and/or
(c) Has the App	licant ever been refuse	ed a License or had its License suspended and/or
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(c) Has the Appleancelled by the If yes, go	rea of business operar of Defense? Yes Cation(s)	al, suspension, and/or cancellation. ation or Plant cover a building or place occupie

If yes, g	give details of the refus	sal.			
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Les III					
<u> </u>	X	7			0
(b) Any Other re	playant Information (us	and ditional sh	poots if appropri	ato)	0
(b) Any Other re	elevant Information (us	se additional sh	neets if appropri	ate)	0 3
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(b) Any Other re	elevant Information (us	se additional sł	neets if appropri	ate)	0 3
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(b) Any Other re	elevant Information (us	se additional sh	neets if appropri	ate)	031111111111111111111111111111111111111

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this	day of	., 2
THE COMMON SEAL	OF THE WITHIN NAMED APPLICAN	NT CONTRACTOR OF THE PROPERTY
Has hereunto been at	fixed in the presence of:	
Sign:	Sign: _	
Name:	Name:	
MANAGING DIRECT Sworn to this	OR/CEO SECRET	TARY .
**	BEFORE ME	**
	MERG	

NOTARY PUBLIC/COMMISSIONER OF OATHS

1.	Date of submission of Application for Amendment of License:
2.	Fees Paid and Receipt Number:
3.	Newspapers and Dates in which Application for Renewal is advertised:
4.	Results of any Public Hearing:
5.	Recommendation of KERC Legal, Licensing & Enforcement Division:
6.	Decision of KERC:
7.	Date of Decision:
8.	Issuing date
9.	Expiration date
10.	Other Relevant information:



SCHEDULE 5

APPLICATION FORM FOR EXTENSION OF TENURE OF LICENSE

(Pursuant to S.12(3)(e) Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is <u>incomplete</u> unless all required documents are submitted and the application is accompanied by the appropriate processing fee

PARTICULARS OF APPLICANT

	Name:
	Physical address:
	Postal address:
	Tel:
	Fax:
	Mobile Phone:
	E-mail:
	Website Address:
1.2	Name and details of Contact Person
	Name:
	Physical Address:
	Postal Address:
	Tel:
	Mobile phone
	F-mail

	2. Off-Grid 3. Embedded TRIBUTION 1. Grid-Connected 2. Off-Grid NSMISSION TEM OPERATION DING Int License Seense Number piration date of the License s the Applicant ever been refused a License or had its License suspended and/or liled by the Commission?	2. Off-Grid 3. Embedded DISTRIBUTION 1. Grid-Connected 2. Off-Grid TRANSMISSION SYSTEM OPERATION TRADING Current License a) License Number b) Expiration date of the License c) Has the Applicant ever been refused a License or had its License suspended and/or	2. Off-Grid 3. Embedded DISTRIBUTION 1. Grid-Connected 2. Off-Grid TRANSMISSION SYSTEM OPERATION TRADING Current License a) License Number b) Expiration date of the License c) Has the Applicant ever been refused a License or had its License suspended and/ocancelled by the Commission?	2. Off-Grid 3. Embedded DISTRIBUTION 1. Grid-Connected 2. 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I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this	day of	, 2
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Sign:	Sign:	
Name:	Name:	9
MANAGING DIRECTOR/O	SECRETARY	4
Sworn to this	day of	
	BEFORE ME	
	MFRG	

NOTARY PUBLIC/COMMISSIONER OF OATHS

1.	Date of submission of Application for Extension of Tenure of License:
2.	Fees Paid and Receipt Number:
3.	Newspapers and Dates in which Application for Extension of Tenure is advertised:
4.	Results of any Public Hearing:
5.	Recommendation of KERC Legal, Licensing & Enforcement Division:
6.	Decision of KERC:
7.	Date of Decision:
8.	Issuing date
9.	Expiration date
10.	Other Relevant information: