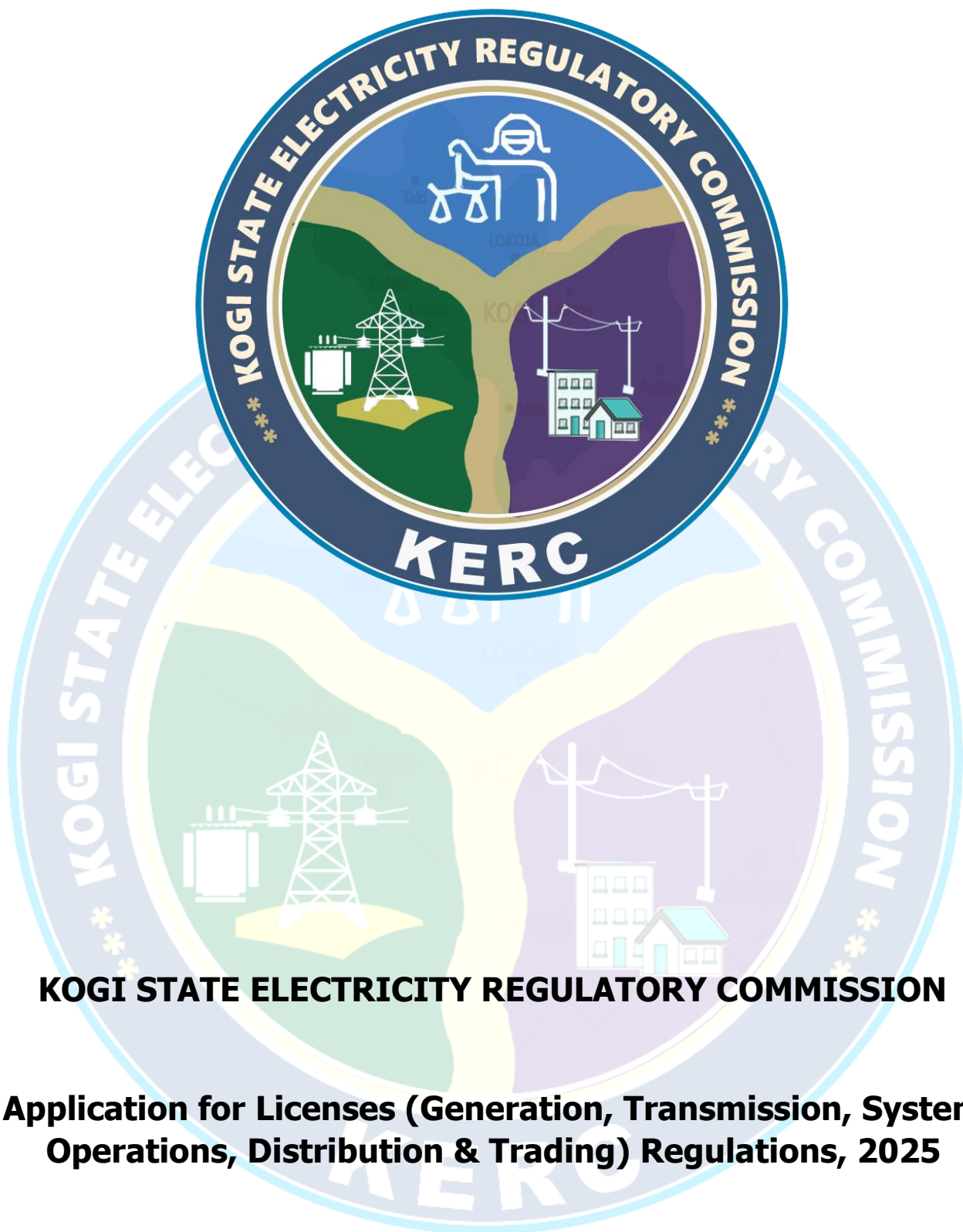




KOGI STATE ELECTRICITY REGULATORY COMMISSION

**Application for Licenses (Generation, Transmission, System
Operations, Distribution & Trading) Regulations, 2025**

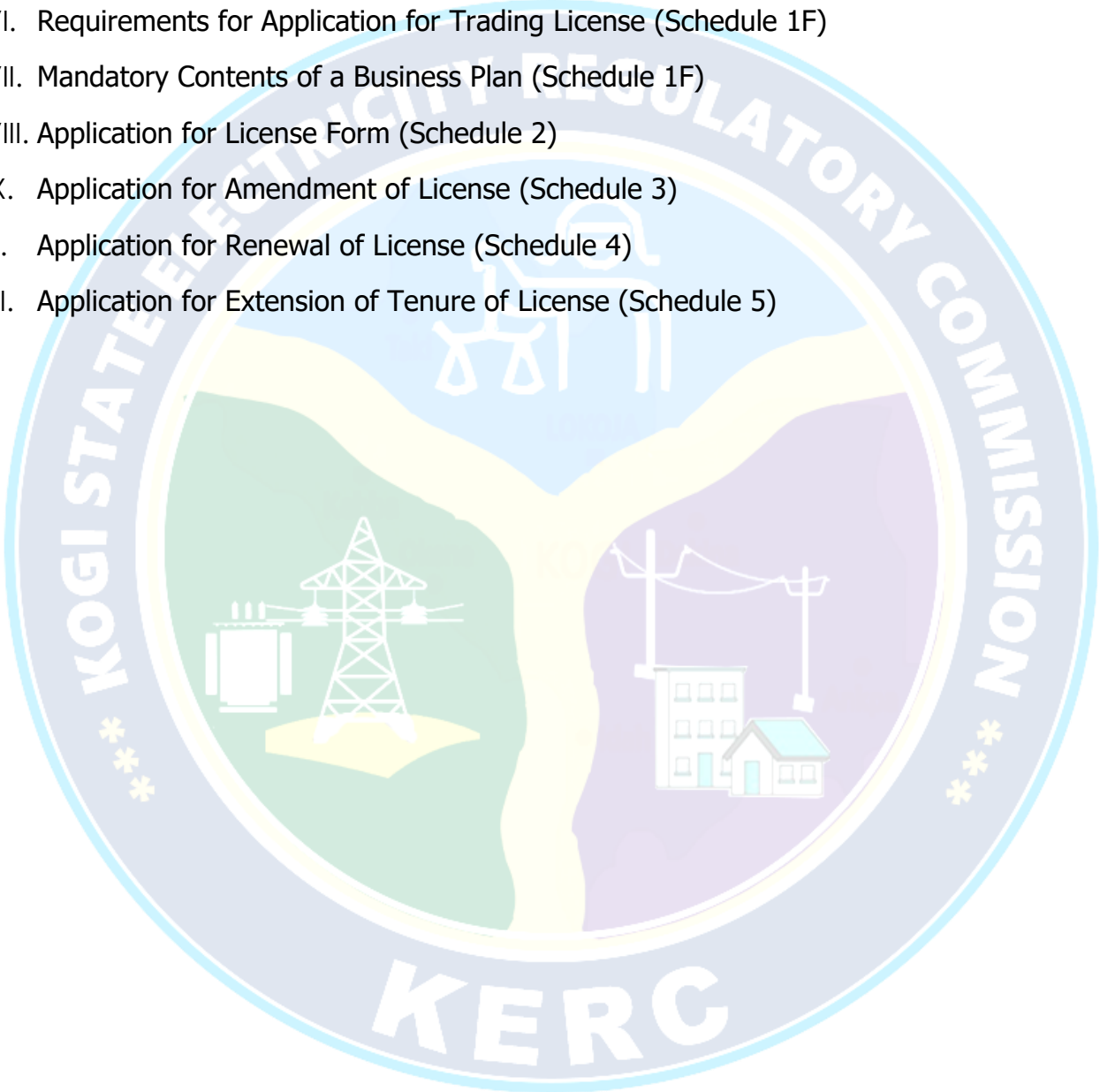
In the exercise of the Powers to make Regulations conferred by Section 122 (1) of the Kogi State Electricity Law 2024, the Kogi State Electricity Regulatory Commission makes the following Regulations for the Application for License (Generation, Transmission, System Operations, Distribution & Trading) 2025.

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CHAPTER I

GENERAL

1. Short title and commencement

- (1) These Regulations may be cited as the Kogi State Electricity Regulatory Commission Application for Licenses (Generation, Transmission, System Operations, Distribution & Trading) Regulations, 2025.
- (2) These Regulations shall come into force on the date on which it is approved by a resolution of the Commission.
- (3) These Regulations shall be signed by the Chairman who shall also cause the seal of the Commission to be affixed thereon.

2. Interpretation

- (1) In these Regulations, unless the context otherwise requires:

“**Law**” means the Kogi State Electricity Law, 2024.

“**Application**” means an application for a License, or for an amendment, renewal, or extension of the tenure of a License under the Act.

“**Business Rules**” means the Kogi State Electricity Regulatory Commission (Business Rules of the Commission) Regulations, 2025

“**Chairman**” means the Chairman of the Kogi State Electricity Regulatory Commission.

“**Commission**” means the Kogi State Electricity Regulatory Commission.

“**Commissioner**” means a commissioner appointed in accordance with the Law.

“**Competent Authority**” means Chairman, Commissioner or such other Officer of the Commission designated from time to time for the purpose by the Commission in accordance with these Regulations.

“**Days**” means working days, excluding Saturdays, Sundays, and public holidays declared by the Federal and Kogi State Government of Nigeria

“**Defense Purposes**” includes properties used as military installations, military divisions, military brigades, military barracks, army depots, military bases, naval shipyards, naval dockyards, Nigerian Defense Academy (NDA), Defense Industries Corporation of Nigeria (DICON), Command and Staff Colleges, War Colleges, etc,

“**Distribution License**” means a License granted under Section 47 (2) of the Law.

“**Generation License**” means a License granted under Section 68 (5) of the Law.

“**License**” shall include all Licenses which the Commission is empowered to issue under the Law.

“**Licensee**” means any person who holds a License issued by the Commission.

“**Month**” means a calendar month.

“**Officer**” means a staff or authorized representative of the Commission.

“**Person**” includes an individual, a company, partnership or any association of individuals, whether incorporated or not.

“**Schedule**” means the Schedule appended to this Regulation.

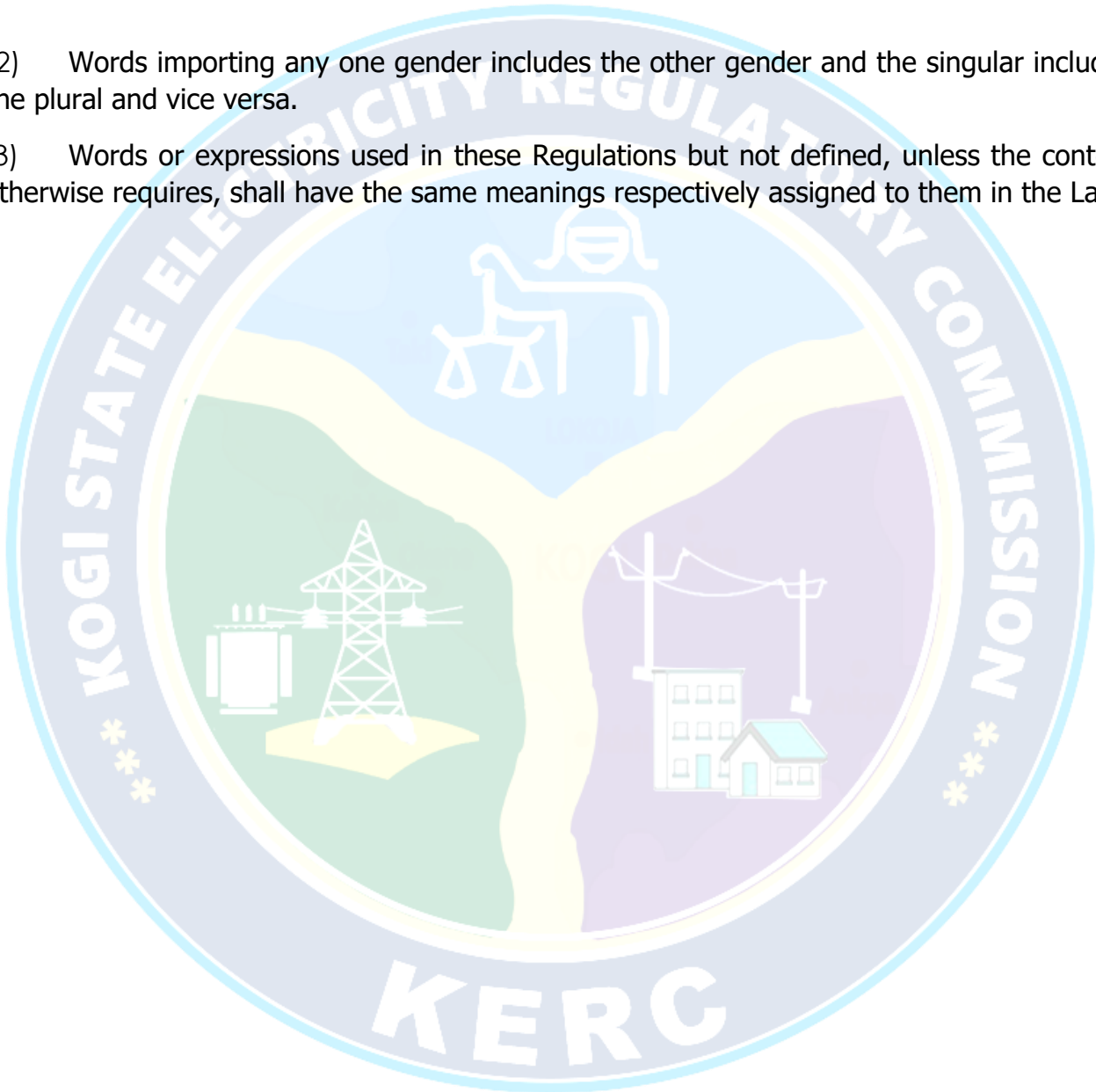
“**System Operation License**” means a License granted under Section 12 (e) of the Law.

“**Trading License**” means a License granted under Section 12 (e) of the Law.

“**Transmission License**” means a License granted under Section 12 (e) of the Law.

(2) Words importing any one gender includes the other gender and the singular includes the plural and vice versa.

(3) Words or expressions used in these Regulations but not defined, unless the context otherwise requires, shall have the same meanings respectively assigned to them in the Law.



CHAPTER II

APPLICATION FOR LICENSE

3. Manner of Application

- (a) An Application for a License shall be made in writing addressed to the Chairman of the Commission and delivered by hand or sent by regular mail or courier to the Commission at its headquarters.
- (b) An Application shall be signed and dated by the authorized representative of the Applicant.
- (c) An Application shall be submitted in **three (3)** paper copies along with an electronic version in Microsoft Office software format.

4. Form of Application

An Application shall be in the form specified in Schedule 2 of this Regulation and shall contain the information therein specified. The Application Form may be obtained from the office of the Commission or downloaded from the Commission's website.

5. Information and Documents to Accompany Application

All Applications for Licenses shall be accompanied with all the information specified in the Application form relating to the specific license being applied for.

6. Processing Fee for Application

The Applicant shall upon submission of the Application Form pay a non-refundable fee as may be fixed by the Commission for the processing of the Application as provided in the ***Regulations for License and Operating Fees 2025***.

7. Acknowledgement of Application

On receipt of the Application, the Commission shall note thereon the date of its receipt and shall send to the Applicant an acknowledgement stating the date of the receipt.

8. Additional Information

- (a) The Commission may upon review of the Application require the Applicant to furnish, within a specified period, any additional information; provided however that the time between the receipt of the Application and the accompanying documents and date on which the Commission notifies the Applicant of the inadequacy of the documents and information shall not exceed one month.
- (b) An application shall lapse if the applicant does not submit all required documents within sixty (60) days from the date which the Commission requests for additional information.
- (c) An application which has lapsed cannot be reactivated. However such an Application may be resubmitted as a new Application, upon payment of the prescribed processing fee.

9. Notification of Due Filing of the Application

If the Commission finds the Application to be complete, it shall pass a Resolution that the Application has been duly made. Within 30 days of the passing of the Resolution, the Commission shall notify the Applicant in writing that the Application has been duly made, and request that the applicant publish the Statutory Public Notice.

10. Publication of Notice of Application

(a) Within thirty (30) days after receiving the Commission's acknowledgement that an Application has been duly made, the Applicant shall at his own expense, cause a notice of the Application, in the form and manner prescribed by the Commission, to be published.

(b) The notice shall be published in at least two daily newspapers, one of which must be a local newspaper with wide circulation in the area where the Applicant intends to operate as a licensee, and the other a national newspaper, and shall state the period within which any objection or representation in connection with the Application may be made to the Commission. The Applicant shall forthwith send a copy of each of the newspaper publications to the Commission.

(c) The Commission shall not issue any License until all objections or representations regarding the Application received by the Commission have been considered.

11. Objection and Hearing

(a) Any person wishing to object to the grant of a License shall file a written objection within twenty-one (21) days from the date of the Public Notice. The objection shall be filed in the form of a petition to the Commission in two (2) paper copies and an electronic copy in Microsoft Office software format contained.

(b) The petition shall be made available to the Applicant by the Commission for a reply.

(c) Following the publication of the Public Notice and lapse of the time specified for filing objections, the Commission may proceed to place the Application for hearing upon its evaluation and consideration of any objection filed.

(d) The Commission shall give the notice of inquiry or hearing to the Applicant, the person(s) who have filed objections, and such other authority, person or body as the Commission considers appropriate. The conduct of the hearing shall be in the form and manner prescribed by the Commission and shall be concluded within a period of thirty (30) days.

(e) The Commission may refuse such an inquiry if in its opinion the objection is frivolous or vexatious.

(f) The findings of the Commission following the inquiry/hearing shall be recorded in writing.

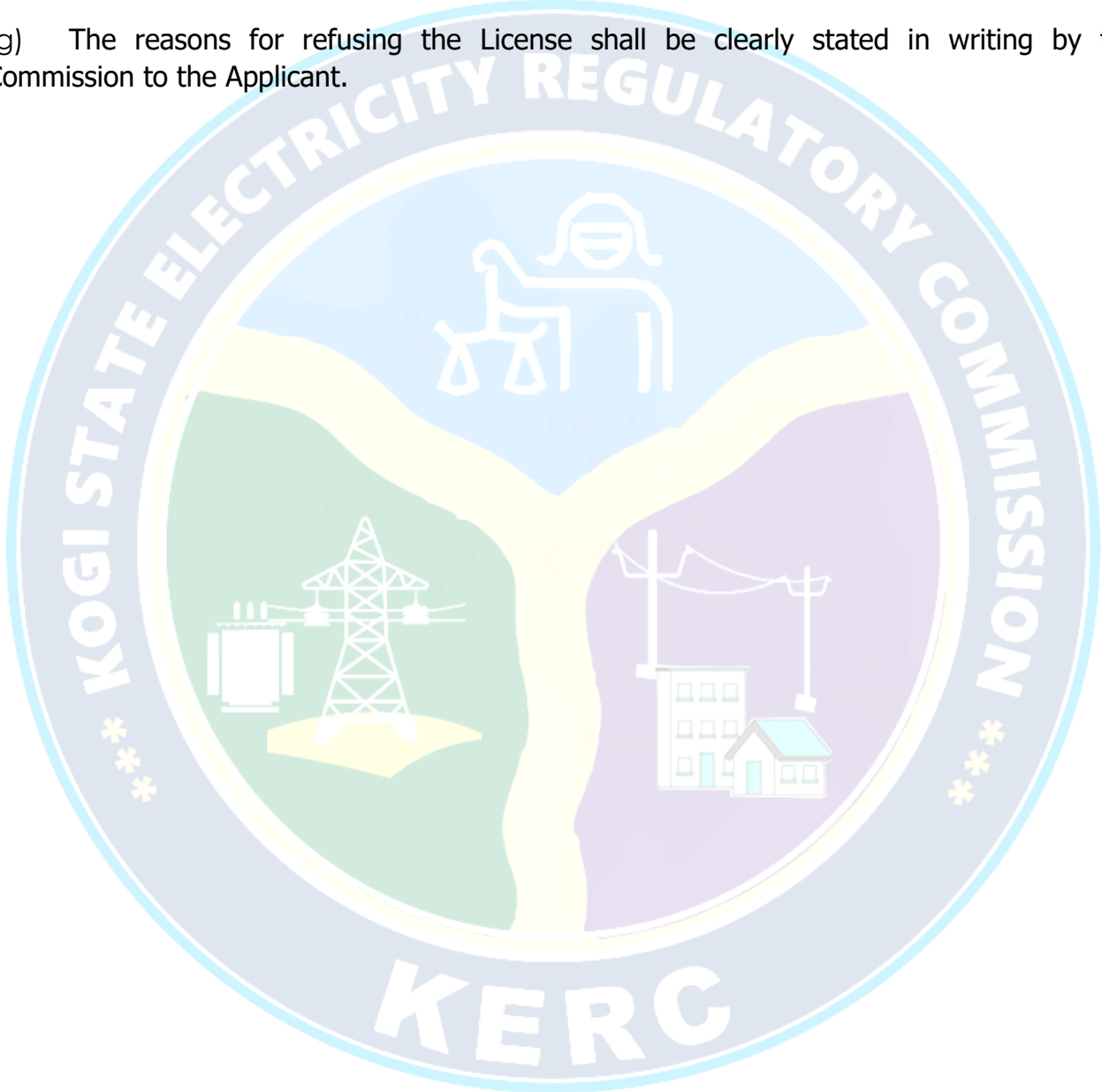
12. Approval or Refusal of License

(a) After due consideration of the Application and inquiry if any, the Commission shall grant or refuse to grant the License.

(b) The period between the acknowledgement of receipt of the Application as specified in Clause 9 and the date on which the Commission notifies the Applicant of its decision or proposed decision to approve or refuse the License as the case may be, shall not exceed 6 (six) months.

(c) Where the Commission has approved a License, the Commission shall inform the Applicant in writing of such approval and the conditions to be satisfied, including the fees to be paid before the grant of the License.

- (d) If the Commission intends to refuse the grant of a License, it shall notify the Applicant in writing of its intention to state the reasons for the decision.
- (e) The Applicant shall have the opportunity to make adequate representations to the Commission within twenty-one (21) days of the Applicant's receipt of the notification from the Commission of the refusal of a License.
- (f) The Commission shall consider the representation made by the Applicant and shall, if the representation is unsuccessful, or no representation was made, duly notify the Applicant in writing that the Application for a License has been refused.
- (g) The reasons for refusing the License shall be clearly stated in writing by the Commission to the Applicant.



CHAPTER III

AMENDMENT AND RENEWAL OF LICENSE

13. Amendment of License Granted

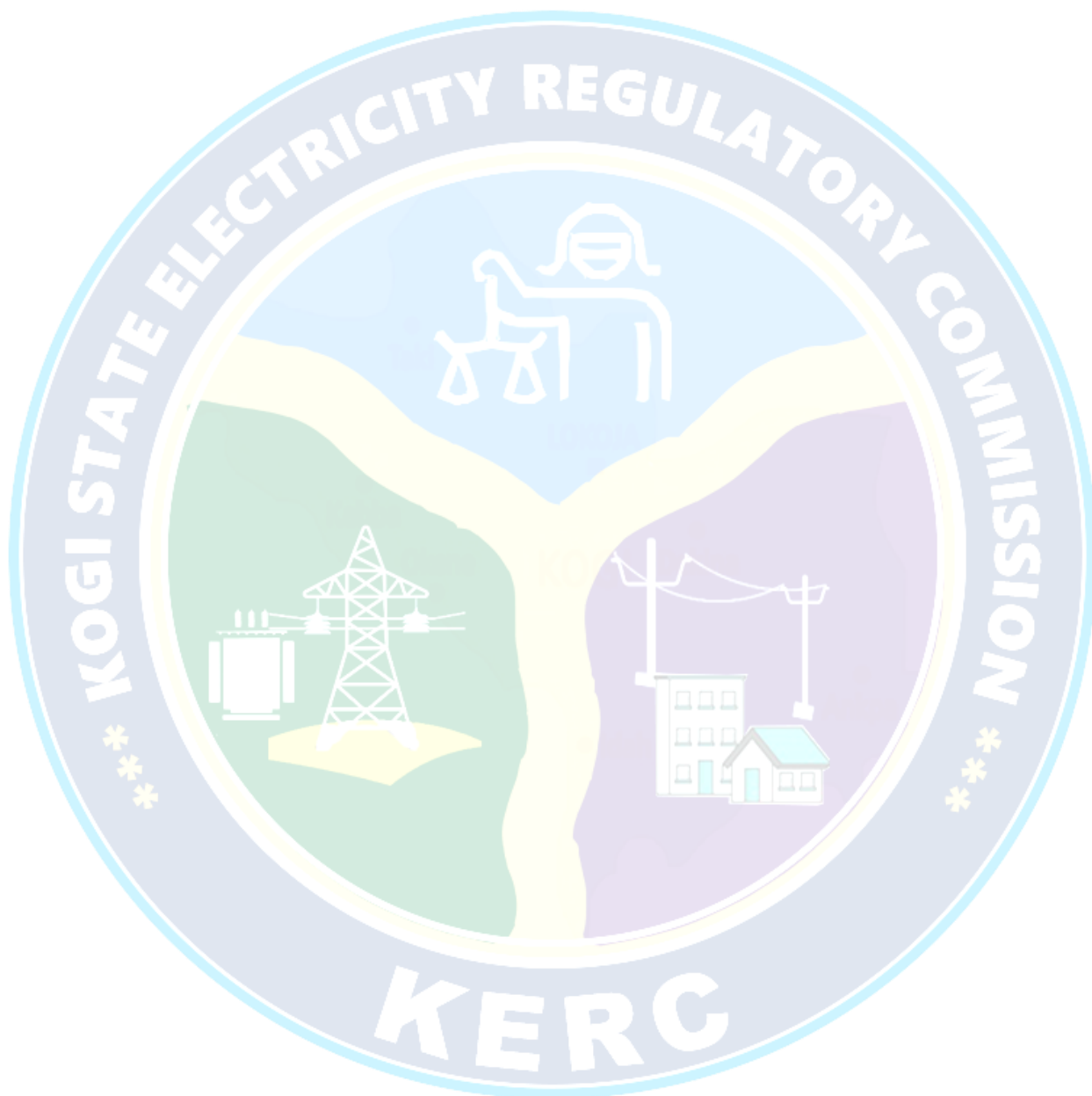
- (a) The terms and conditions of a License granted by the Commission may be amended;
- (i) on Application by the licensee in the form specified in Schedule 3 of this Regulation;
 - (ii) upon the Commission receiving a complaint from any consumer, eligible customer, consumer association, association of eligible customers or other licensee;
 - (iii) on the Commission's own initiative.
- (b) An application for amendment may be made any time after the issuance of the License, but at least nine months before the expiration of the License.
- (c) For all amendments not initiated by the licensee, the Commission may amend the License Terms and Conditions if satisfied that:
- (i) any circumstance exists which renders it necessary in the public interest to amend the license,
 - (ii) the licensee is unable to meet certain requirements set by the Commission in the Terms and Conditions,
 - (iii) the licensee has defaulted in complying with certain provisions of the Act, Regulations of the Commission, Market Rules, and Network Codes, and
 - (iv) There is a material change in the circumstance of the licenses.
- (d) Unless otherwise specified in writing by the Commission, the applicant shall pay a non-refundable fee, as may from time to time be fixed by the Commission for the processing of the Application as provided in the Regulations for License and Operating Fees 2025.
- (e) Where a licensee makes an application for the amendment of a License, the licensee shall publish a notice of the proposed amendments to the License in the form and manner specified by the Commission.
- (f) The procedure prescribed in Chapter II for an application for License, in so far as it can be applied by the Commission, shall be followed while dealing with an application for amendment of a License; provided however that where the proposed amendment is as a result of the Commission's initiative, the Commission shall be responsible for the publication of the public notice stating the proposed amendments, if required.
- (g) Where the proposed amendment is in respect of a licensee providing service to an area covering a building or place occupied by the Federal Ministry of Defense for defense purposes, the Commission shall obtain the consent of the Federal Ministry of Defense before making the amendment to the License.

14. Renewal of License

- (a) An Application for the renewal of a License granted by the Commission shall be made at least nine (9) months before the expiration of the License and shall be in the form specified in Schedule 4 of this Regulation.

(b) Unless otherwise specified in writing by the Commission, the applicant shall pay a non-refundable fee as may from time to time be fixed by the Commission for the processing of the Application as provided in the Regulations for License and Operating Fees 2025.

(c) The procedure prescribed in Chapter II for grant of License, in so far as it can be applied by the Commission, shall be followed while dealing with an Application for renewal of License.



CHAPTER IV

EXTENSION OF TENURE OF LICENSE

15. Extension of Tenure of License

- (a) Any Person who has been issued a License by the Commission may apply for a License Tenure Extension under the provisions of this regulation.
- (b) The application must be submitted within the first five (5) years of the initial term of the License.
- (c) Unless otherwise specified in writing by the Commission, the applicant shall pay a non-refundable fee as may from time to time be fixed by the Commission for the processing of the Application as provided in the Regulations for License and Operating Fees 2025.
- (d) An Application shall be in the form specified in Schedule 5 of this Regulation and shall contain the information therein specified and shall be submitted with the required information specified in the Application Form relating to the specific License tenure extension being applied for. The Application Form may be obtained from the office of the Commission or downloaded from the Commission's website.
- (e) The procedure prescribed in Chapter II for grant of License, in so far as it can be applied, shall be followed while dealing with an Application for extension of tenure of License, except Clause 12(b).
- (f) The Commission shall consider the application and reach a decision to grant or refuse to grant a tenure extension within three (3) months of the submission of a complete application to the Commission.
- (g) An application for the extension of tenure of a license can only be granted once during the initial tenure of the License being extended.
- (h) Where the application is in respect of a licensee providing service to an area covering a building or place occupied by the Federal Ministry of Defense for defense purposes, the Commission shall obtain the consent of the Federal Ministry of Defense before granting the extension tenure of the License.

CHAPTER V

SUSPENSION AND CANCELLATION OF LICENSE

16. Suspension of License

- (a) The Commission may on its own initiative or upon receiving a complaint or information from any consumer, eligible customer, consumer association, association of eligible customers or other licensees, initiate an inquiry into the conduct or activities of any licensee.
- (b) The Commission, may if satisfied that one or more grounds listed hereunder exist, suspend the License in the manner specified.
- (c) The Commission may suspend a License granted if a licensee:
- (i) is unable to fully discharge the functions or perform the duties imposed on it by the Terms and Conditions of the License, the Law, applicable Legislations, Regulations of the Commission, Market Rules, Rules and Regulations, and Network Codes; or
 - (ii) has defaulted in complying with the Terms and Conditions of this License, any Decisions or Orders of the Commission; or
 - (iii) is carrying out its licensed business in a form and manner which constitutes an immediate threat to public health and safety or the health or safety of any person; or
 - (iv) if the licensee refuses to submit itself to investigations or inquiry by the Commission or obstructs any officer assigned to do so or fails to produce documents for inspection as directed by the Commission; or
 - (v) any circumstance exists which renders it necessary in the public interest to suspend the license.
- (d) The Commission shall inform the licensee in writing of the grounds upon which the Commission proposes to suspend the License and give the licensee an opportunity to make representations against the proposed suspension or undertake to rectify/remedy the situation that gave rise to the proposed suspension.
- (e) Where the licensee chooses to make a representation and/or rectification of the grounds that gave rise to the proposed suspension of the License, it shall make its representation and/or rectification within thirty (30) days from the date of its receipt of the Commission's letter informing it of the grounds upon which the Commission proposes to suspend its License.
- (f) The Commission shall take into cognizance the representation made by the licensee in reaching a final decision. The decision of the Commission shall be communicated to the licensee in writing.
- (g) If the Commission decides to suspend a License, it shall notify the licensee of the date on which the suspension shall take effect, and the Commission may make orders regarding the undertaking of the licensee including an order empowering another person or licensee to take over the operations of the undertaking in order to maintain continuity in the provision of electricity services.

(h) The Commission may, after the imposition of the suspension order, review the order and either cancel the License in accordance with the provisions of Clause 16 or lift the suspension of the License and restore the undertaking to the licensee.

(i) The Commission shall not impose a suspension order more than six (6) months and may review the order before the expiration of the suspension term imposed.

17. Cancellation of License

(a) The Commission may, on its own initiative or upon receiving a complaint or information from any consumer, eligible customer, consumer association, association of eligible customers or other licensees, initiate an inquiry into the conduct or functioning of any licensee.

(b) The Commission may cancel a License if:

(i) the License was issued through fraud or the misrepresentation or nondisclosure of a material fact; or

(ii) the licensee has willfully or unreasonably contravened any provisions of the Law, applicable Legislations, Regulations of the Commission, Market Rules, Network Codes, Rules and Regulations; or

(iii) the licensee has failed to comply with any term or condition of the License, the breach of which is expressly declared to render it liable to cancellation;

(iv) the licensee becomes insolvent or is adjudged bankrupt; or

(v) the financial position of the licensee is such that the licensee is unable to fully and efficiently discharge the duties and obligations imposed by the License.

(c) The Commission, if satisfied that enough grounds exist for the cancellation of the License, shall give notice of proceedings for the cancellation of the License to the licensee and to such other person(s), group of persons or body as may be considered necessary.

(d) The proceedings by the Commission on the cancellation of the License, in so far as it is applicable, shall be in the manner prescribed by the Commission provided that:

(i) The Commission shall notify the licensee in writing of its intention to cancel the License and the reasons for doing so and;

(ii) The licensee shall be given the opportunity to demonstrate, within sixty (60) days of the delivery of such notification, that the circumstances have changed such that the cancellation may no longer be warranted.

(e) If the Commission decides to cancel the License after complying with the laid down procedure, the Commission shall serve notice to the licensee specifying the effective date from which such cancellation shall take effect. (f) The Commission may instead of cancelling the License, pass any other Order imposing further terms and conditions subject to which the licensee is permitted to operate thereafter.

(g) The Commission may upon the cancellation of a License, make Orders regarding the undertaking of the licensee, including an Order for the sale of the undertaking of the licensee,

as are in the opinion of the Commission, necessary for maintaining continuity in the provision of electricity service, and in furtherance with its objectives in Section 36 of the Law.

18. Withdrawal of License

(a) The Commission may withdraw a License for a generating station not yet operational if the licensee:

- (i) obtained the License through fraud or the misrepresentation or nondisclosure of a material fact; or
 - (ii) willfully or unreasonably contravenes any provisions of the Law, applicable Legislations, Regulations of the Commission, Market Rules, Network Codes, Rules and Regulations;
 - (iii) fails to comply with the milestones upon which the License was issued;
 - (iv) contravenes any term or condition of the License;
 - (v) becomes insolvent or is adjudged bankrupt; or
 - (vi) fails to commission the licensed generating station listed in the Schedule within three (3) years from the date of grant of License.
- (d) The Commission shall inform the licensee in writing of the grounds upon which the Commission proposes to withdraw the License and give the licensee a reasonable opportunity to make representations against the proposed withdrawal.
- (e) Where the licensee chooses to make a representation, it shall make its representation within thirty (30) days from the date of its receipt of the Commission's letter informing it of the grounds upon which the Commission proposes to withdraw its License.
- (f) The Commission shall take into cognizance the representation made by the licensee in reaching a final decision. The decision of the Commission shall be communicated to the licensee in writing.
- (g) If the Commission decides to withdraw a License, it shall notify the licensee of its decision in writing and may make orders to that effect.
- (h) A License which has been withdrawn cannot be reactivated, amended, renewed, or have the tenure extended. However, another application may be submitted for the same generating station, upon payment of the prescribed fee.

CHAPTER V

MISCELLANEOUS

19. Rehearing and Appeals

(a) Any person who is aggrieved by a decision of the Commission pursuant to this Regulations may, within twenty-one (21) days after the decision is made, apply to the Commission for a review of the following:

- i. An Order of the Commission;
- ii. A Decision of the Commission not to grant a License;
- iii. A Decision of the Commission to refuse to renew, amend or extend the tenure of a License; or a Decision of the Commission to withdraw, suspend or cancel a License

(b) The Commission shall, in accordance with its Rules of Proceedings reaffirm, reconsider, vary or rescind its decision before issuing a final Order.

(c) Such review or reconsideration shall be completed within sixty (60) days of the date it is requested.

(d) All Orders or Decisions of the Commission are subject to judicial review by the High Court as provided in Section 16 of the Law

20. Withdrawal of Applications

(a) An Application for a License, an amendment, a renewal, or a License tenure extension may be withdrawn in writing by an Applicant at any stage.

(b) An application which has been withdrawn cannot be reactivated. However such an Application may be resubmitted as a new Application, upon payment of the prescribed processing fee.

21. Amendment or Repeal of Regulation

The Commission may amend or repeal the provisions of these Regulations.

SCHEDULE 1A

MANDATORY SUBMISSIONS FOR APPLICATIONS FOR GENERATION LICENSES

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Off-take Agreement or Arrangement.
7. Environmental and Social Impact Assessment (ESIA) Approval Certificate, or Proof of submission and acceptance for processing of the Report on ESIA to the Ministry of Environment, or Details on how effluents and discharges will be managed (if proposed capacity is less than 10MW).
8. Fuel Supply Agreement, or a letter from a fuel supplier and transporter indicating the inclusion of the fuel needs of the applicant in the supply plans of the fuel supplier and transporter.
9. MoU with or Letter of intent from Engineering Procurement Contract (EPC) Contractor (if applicable).
10. MoU with or Letter of Intent from the technical partner (if applicable).
11. Financing Agreements or Letter to fund the project from financial institution(s).
12. Timelines for commissioning of the power plant and on the date when different capacities of the plant will come into operation

SCHEDULE 1B

REQUIREMENTS FOR APPLICATIONS FOR GENERATION LICENSES A. GENERAL REQUIREMENTS:

- (i) **Site Map:** Showing fuel delivery and storage locations, transmission evacuation site, water pipelines, gaseous, liquid and solid waste disposal areas etc.
- (ii) **Location Map:** Showing Roads, Rail Lines, Transmission Lines, Rivers, Reservoirs, etc.
- (iii) A principal single-line diagram of the project site.
- (iv) Fuel Sourcing, Transportation and Supply Arrangements.
- (v) Water supply and availability analysis for plant and staff use.

(vi) Plant Design.

(vii) Power Station Information:

- a. Total capacity (MW)
- b. Number of Generating Units
- c. Size of Generating Units (MW)
- d. Expected Annual Generation (MWh)
- e. Proposed Running Regime
- f. Station Load/Load Factor

(viii) Generator Unit Information:

- a. Generator Type
- b. Rating (MVA, MW)
- c. Terminal Voltage (KV)
- d. Rated Frequency
- e. Rated speed (RPM)
- f. Automatic Frequency Control Facility
- g. Rated Power Factor
- h. Unit Efficiency
- i. Short Circuit Ratio
- j. Direct Axis Transient Reactance
- k. Direct Axis Sub-Transient Reactance
- l. Quadrature Axis transient reactance
- m. Generator Cooling (Air-cooled, Hydrogen etc.)
- n. Auxiliary Power Requirements
- o. Type of Exciter (Static or Rotating, Self or Separately Excited)
- p. AVR type
- q. Generator Protection (Relays)
- r. Type and Characteristics of Governor Control System
- s. Generator Unit Transformer Data
- t. Manufacturer's name / Year of Manufacture / Warranty

(ix) Engineering, Procurement and Construction (EPC) Contract. (Please refer to the report on the Guide to the Development of Independent Power Plants)

(x) Details of Phasing of Project, if applicable.

(xi) Auxiliary Systems: Please refer to the report on the Guide to the Development of IPPs.

(xii) Ancillary Services:

- Black Start facilities
- Reactive Power Generation capabilities
- Frequency Response Capability
- Maximum Generation (MAXGEN) capability
- Fast Start capability

(xiii) Report of evacuation studies (For Grid Connection):

- Load Flow Studies
- Stability Studies
- Short Circuit Studies

(xiv) Station Safety Arrangements:

- Emergency Response Plan
- Fire Fighting Facilities
- First Aid
- Safety Awareness and Staff Training Plans
- Personal Protective Equipment (PPE)
- Health & Safety Policy

(xv) Environmental Impact Assessment (EIA) and Waste Management Plan.

(xvi) Expected date of Commissioning

(xvii) Evidence of approval from Transmission Company of Nigeria (TCN) confirming that proposed connection point has capacity to take load which will be fed to it Connection.

B. SPECIFIC REQUIREMENTS:

1. FOR HYDRO PLANTS:

- Agreement/Approval with Ministry of Water Resources
- Map showing proposed Dam Reservoir Area, Water conductor system, fore bay, powerhouse etc.
- Information on the area of village, forestland, agricultural land, etc submerged.

Turbine Unit Information (Hydro Turbines):

- Turbine Type (Francis, Kaplan, Pelton)
- Nominal Head (Meters)
- Nominal Water Flow (M³/S)
- Turbine Capacity (MW)
- Turbine Efficiency
- Hydro Governor Type
- Block diagram for the speed governor
- Noise Level
- Manufacturer's name / Year of Manufacture / Warranty

2. FOR THERMAL PLANTS:

(i) **GAS-STEAM PLANTS:**

Turbine Unit Information:

- Steam Turbine Type
- Boiler Pressure and Temperature
- Minimum and Maximum Rated Capacity

- Steam Pressure and Temperature (Super Heat and Reheat)
- Heat Rates
- Efficiency at rated capacity
- Fuel quality specifications requirements
- Noise Level
- Run-up and Run-down Rates
- Minimum Synchronizing Generation
- Manufacturer's name / Year of Manufacture / Warranty

(ii) **COAL-STEAM PLANTS:**

- Steam Turbine Type
- Boiler Pressure and Temperature
- Turbine Efficiency
- Heat Rate
- Noise Level
- Run-up and Run-down Rates
- Coal Type and source
- Burner Type
- Description of Coal Handling System (e.g., Mill type, Conveyor)
- Coal Ash Management and Disposal Arrangement
- Emissions Levels (Nox, Sox etc.)
- Flue Gas De-sulphurization System (if available)
- Manufacturer / Year of Manufacture / Warrant

(iii) **GAS PLANTS (OCGT):**

- Gas Turbine Type
- Minimum & Maximum Turbine Capacity
- Efficiency.
- Fuel Quality Specifications
- Gas turbine pressure ratio
- Noise Level
- Heat Rate
- Run-up and Run-down Rates
- Ignition system
- Major Gas Turbine Accessories
- Type of Air Intake System
- Emission Levels
- Manufacturer / Year of Manufacture / Warranty



(iv) OIL PLANTS:

- Turbine Type
- Turbine Efficiency
- Heat Rate
- Noise Level
- Run-up and Run-down Rates
- Oil Type and source
- Burner and Ignition System Type
- Description of Oil Handling System (Storage and Heating facilities)
- Emission Levels
- Manufacturer and Year of Manufacture / Warranty

3. FOR SOLAR PLANTS:

(i) SOLAR THERMAL POWER GENERATION:

- Type of Solar Thermal Power Generation technology employed
- Terminal Voltage
- Station load and Station Load factor
- Ancillary Services provided
- Annual Generation
- Rated Power factor
- Heat Rate
- Phases of project
- Total capacity of Plant
- Detailed Diagram of plant arrangements on site
- Turbine type and its accessories
- Boiler type and its accessories
- Collectors type and its accessories
- Cooling system for the steam condensers
- Collector isolation

(ii) SOLAR PHOTOVOLTAIC CELLS TECHNOLOGY:

- Maximum Cell Voltage
- Type of Solar Cell employed
- Maximum generation from PV Cells
- Type of Solar Panels and Inverters
- Capacity of Solar Panels and Inverters
- Building Penetrations/Water Damages
- Batteries Capacity

4. FOR WIND FARM:

(i) Wind Speed:

- High-speed wind site or low-speed wind site

(ii) **Wind farm Information:**

- Total capacity (MW)
- Number of Blades
- Rated Capacity (MW)
- Rotor Diameter (m)
- Rotor Speed (rpm)
- Power Factor
- Rated Wind (m/s)
- Station Load
- Frequency
- Cut-in Wind Speed (m/s) and Cut-out Wind Speed (m/s)
- Swept Area (m²)

(iii) **Design Data (Wind Turbine):**

- Generator Type
- Gearbox Type
- Converter Type
- Braking System Type
- Yaw System Type
- Control System
- Offshore Container Type

Onshore version (optional)

- Light Protection System
- Integrated Hoisting System
- Hoisting System

(iv) **Noise Reduction:**

- Sound reduced gearbox
- Noise Emissions (in decibel)
- Noise reduced nacelle
- Noise insulation of generator
- Impact noise insulation of the Gearbox
- Rotor Blade with minimal noise
- Noise reduced operation (optional)

(v) **Tower Design Data:**

- Tower Type
- Hub height of the Tower (site dependent)
- Power Control

5. FOR EMBEDDED GENERATION/ OFF-GRID GENERATION:

- Total Capacity per site
- Number of Generating Units per site
- Fuel Type
- Size of Generating Units (MW & MVA)
- Terminal Voltage
- Rated Power Factor
- Reactive Power Capacity (if any)
- Noise Level
- System Protection
- Waste management plan or EIA (If required)
- Agreement or Arrangement with Distribution Company for Network use.
- Manufacturer's name / Year of Manufacture / Warranty

SCHEDULE 1D

REQUIREMENTS FOR APPLICATIONS FOR DISTRIBUTION LICENSES:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Power Purchase Agreement with Generating Licensee or Resale Agreement with Trading Licensee
7. Network Agreement with Transmission Licensee
8. Retail Agreement with end-user for supply of power
9. MoU with or Letter of intent from Engineering Procurement Contract (EPC) Contractor (if applicable).
10. MoU with or Letter of Intent from the technical partner (if applicable).
11. Financing Agreements or Letter to fund the project from financial institution(s).
12. A detailed forecast of the demand for electricity in the area of supply
13. Project location
14. Line Voltage Level (33KV, 11KV, 415V & 230V)
15. Connection Point(s)

16. Pole type (wood, Concrete, Steel, etc.)
17. Installation method (overhead, underground, etc.)
18. Detailed project design:
 - Site map
 - Single line Diagram
 - Control Rooms
 - Sub-stations
19. Line Length
20. Distribution Environmental Impact Assessment
21. Transformer(s) type & data (attach nameplate data of all transformers)
22. Connection agreement with TCN
23. Impact of connecting the distribution system to TCN networks
24. Projected Peak Load to be connected
25. Maximum Capacity of Network (MW, MVar)
26. Bill of Engineering Measurement and Evaluation (BEME) of the project
27. Details of protection Equipment
28. Health and Safety Policy

SCHEDULE 1E
REQUIREMENTS FOR APPLICATIONS FOR TRANSMISSION SYSTEM OPERATOR (SO) LICENSES:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Network configuration
7. Line Voltage Level (132kV and above)
8. Interconnection points
9. Ownership of main, supplementary and Regional Control Centers
10. Major Control facilities / equipment available at the control centers

11. Communication Facilities (PLC, Radio links, VoIP, Fibre Optic etc.)
12. System Planning (including operation) capability
13. System Operators training and certification facility or alternative
14. Ability to procure and pay for ancillary
15. Functional Energy Management System Equipment / Facilities
16. Operational Procedure for Dispatch Operators (if available)
17. Health & Safety Policy

SCHEDULE 1F

REQUIREMENTS FOR APPLICATIONS FOR TRADING LICENSE:

1. Completed Application Form.
2. Certificate of Incorporation and Memorandum and Articles of Association, or Deed of Partnership, or Deed of Trust.
3. Registered Title Deed to Site, or Sale Agreement, or Deed of Assignment/Gift, or evidence of submission of a title deed to a relevant land processing agency (as applicable).
4. Tax Clearance Certificate for immediate past three (3) years.
5. Ten-year Business Plan.
6. Financing Agreements or Letter from financial institution(s).
7. Power purchase Agreement (PPA) with Generation Licensee
8. Network Agreement with Transmission Licensee
9. Retail Agreement with end-user for supply of power or Resale Agreement with Distribution Licensee *

SCHEDULE 1G

MANDATORY CONTENTS OF THE BUSINESS PLAN FOR APPLICATION FOR A LICENSE:

The Business Plan submitted by the applicant pursuant to Schedule A should include the following;

1. **The Business Name**
 - Main Object of the business
 - Incorporation date
 - Authorized and Paid-Up Share Capital
 - Name of shareholders and their respective holdings
 - Information on related or commonly owned business.
2. **Nature of the proposed business.**

- Proposed electricity business for which the License is being sought
- Company/promoters experience in the line of business.
- Historical Financials (Audited Accounts) for at least the past three years. If the company is new and has no historical financials, the Historical financials of the major corporate sponsor if any should be provided.

3. **Financial Projection (for the duration of the license or a minimum of 5 years)**

- Profit and Loss Account (P&L)
- Balance Sheet
- Cash flow
- Break even analysis
- Net Present Value (NPV)
- Internal Rate of Return (IRR)
- Payback Period

4. **Funding needs and sources**

- The Project cost
- The Financing method
- The cost implication of each financing source.
- Proof of debt source (offer letter from the lenders or a letter of undertaking from the financial institution.
- Shareholders agreement
- Proposed term for debt financing or loan agreement (if any)

5. **Assumptions/basis for the projected financials**

- Turnover (Plant capacity, Energy sent out, tariff)
- Capital expenditure
- Operational expenditure (especially salaries and wages, Maintenance, fuel pricing).
- Dividend policy
- Depreciation
- Inflation

6. **Detailed market study report, covering the following areas:**

- Supply analysis with information/data on names, location and installed capacities of existing and known potential competitors, Trend of future supply (local + import).
- Demand analysis comprising factors influencing demand, estimate of domestic demand, export potentials – all based on current and future trend.
- Market prospects with information on existing planned marketing arrangements including agreements reached with potential off takers /customers, current and proposed tariff vis-à-vis competitors. The pricing strategy as well as the proposed tariff structure intended. The tariff assumptions should indicate whether a single or multiple tier tariff policy is proposed i.e. energy only, or a combination of energy, capacity and ancillary service.

7. **Technology Requirements**

- The technology to be adopted.
- The advantages it offers against other competing technologies.
- The source of the technology
- Quality intentions or targets
- Technical partners (resume and experience in similar project).
- Operation and maintenance agreements
- ICT support strategy

8. **Machinery and equipment.**

- Comprehensive list of machinery with detailed specifications source(s) and prices.
- The terms of equipment supply.
- Maintenance contract
- Proposed procurement process.

9. **A general overview of the proposed power station (for application for Generation Licenses)**

- Location of the station and site plan
- Decision to make a site
- Initial capacity
- Forecast future capacity and availability
- General dispatch /arrangement
- Evacuation arrangement

10. **Organizational Structure**

- Organogram
- Experience and expertise of the management team of the company
- Experience and expertise of the technical staff manning the power plant
- Job specification/schedule
- Number of people to be employed (separated into technical and nontechnical category)
- Number of expatriates and the position they will hold

11. **Project Risk and Mitigants**

- Political risk and Mitigants
- Business risk and Mitigants
- Financial risk and Mitigants
- Other identified risks and Mitigant



SCHEDULE 2

APPLICATION FORM FOR A LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1.0 PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: * _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name of Proposed Business if Different from above

Name: _____

Location: _____

Postal address: _____

Tel: _____

Mobile phone: _____

E-mail: _____

Website Address: _____

1.3 Name and details of Contact Person

Name: _____

Physical _____

Address: _____

Postal
Address: _____

Tel: _____

Mobile phone _____

Email _____

Website
Address: _____

2.0 LEGAL STATUS OF APPLICANT

2.1 Indicate legal status of Applicant (Tick relevant option)

1. Sole Proprietorship
2. Partnership
3. Public Limited Liability Company
4. Private Limited Liability Company
5. Cooperative Society
6. Other (please specify)

(Attach Certificate of Registration, Certificate of Incorporation, Memorandum and Articles of Association, Deed of Partnership, Deed of Trust, as applicable)

2.2 List and Particulars of Shareholders

Name	Address	Nationality	Country of usual residence

2.3 State if any shareholder has ever been convicted of a criminal offence in Nigeria or in any other country: _____

If yes, details of conviction:

2.4 List and Particulars of Directors

Name	Address	Nationality	Country of usual residence

2.5 State if any director has ever been convicted of a criminal offence in Nigeria or in other Country: _____

If yes, Details of conviction:

3.0 TYPE OF LICENSE REQUIRED

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐

TRANSMISSION	☐
SYSTEM OPERATION	☐
TRADING	☐

3.1 Existing License

Does the Applicant have an existing License issued by the Commission?

If yes, state the nature of the License, date issued and the License number.

3.2 Affiliate Ownership/Relationship

Does the Applicant own more than ten percent (10%) shareholding in another entity that has applied for a License or has been granted a License by the Commission?

If yes, state the name of the entity, the nature of the application or License, the date issued and the License number.

3.3 Refusal, Suspension or Cancellation of License

Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

(b) If yes, give details of the refusal, suspension, and/or cancellation.

3.4 FINANCIAL STATUS OF APPLICANT

Share Capital of the Applicant

(Authorized)

(Fully Paid)

3.5 Indebtedness

3.6 Please provide Certified Audited Financial Statements and Accounts for the last 3 years (or latest 3 years) prior to Application (if applicable).

3.7 Bankers and Financial References

Bankers

Name and Address of Bankers inside and outside Nigeria (including telephone)

Name	Address	Contact Person

(NOTE: The Commission may independently verify with your bankers)

3.8 Other Important Referees on the Applicants Financial Status

Name	Address	Contact Person

3.9 Sources of Funding for the Proposed Project

a) Share Capital contribution (specify foreign or local)

b) Loan capital (specify source and provide evidence)

Others (specify)

3.10 MAIN BUSINESS ACTIVITIES OF APPLICANT

Please indicate the main business activities the Applicant is currently engaged in:

3.11 TECHNICAL CAPACITY AND MANAGERIAL EXPERIENCE

Technical Capacity and Managerial Competence of Applicant

Please provide detailed statement of Applicant's technical and managerial competence and experience to undertake the proposed project. (Use additional sheets if necessary)

(a) Technical Capacity

(b) Managerial Competence

Describe technical and industrial support from domestic and foreign sources: (a) Foreign

(b) Domestic

3.12 DESCRIPTION OF PROPOSED PROJECT

Please provide a detailed description of the project:

(Please attach the detailed feasibility study)

Project site/utilities

(a) Project site (attach title document to the land, relevant maps and drawings and state whether there is access roads required):

(b) Site map indicating access roads, generation plant, transmission and distribution lines and substations required for the project (Attach map):

(c) Provide technical aspects/design of the project

State Initial Capacity of the Proposed Project;

(a) Amount of power to be initially generated, transmitted, distributed or supplied:

(b) Proposed future capacity

Implementation Schedule of the Project

Present land use at the Project Site

State if there is need to access public and/or private land:

Specify Consents required by other public authorities to undertake the project and their status (attach relevant documents):

Consent Required and from whom	Description of Activity	Legal Provisions e.g. Land Use Act etc.	Status

3.13 Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

3.14 IMPACTS OF THE PROJECT

Environmental and Social Impact Assessment

Please attach the Environmental Impact Assessment and approval from the Federal Ministry of Environment.

Any Other relevant Information (Use additional sheets if appropriate)

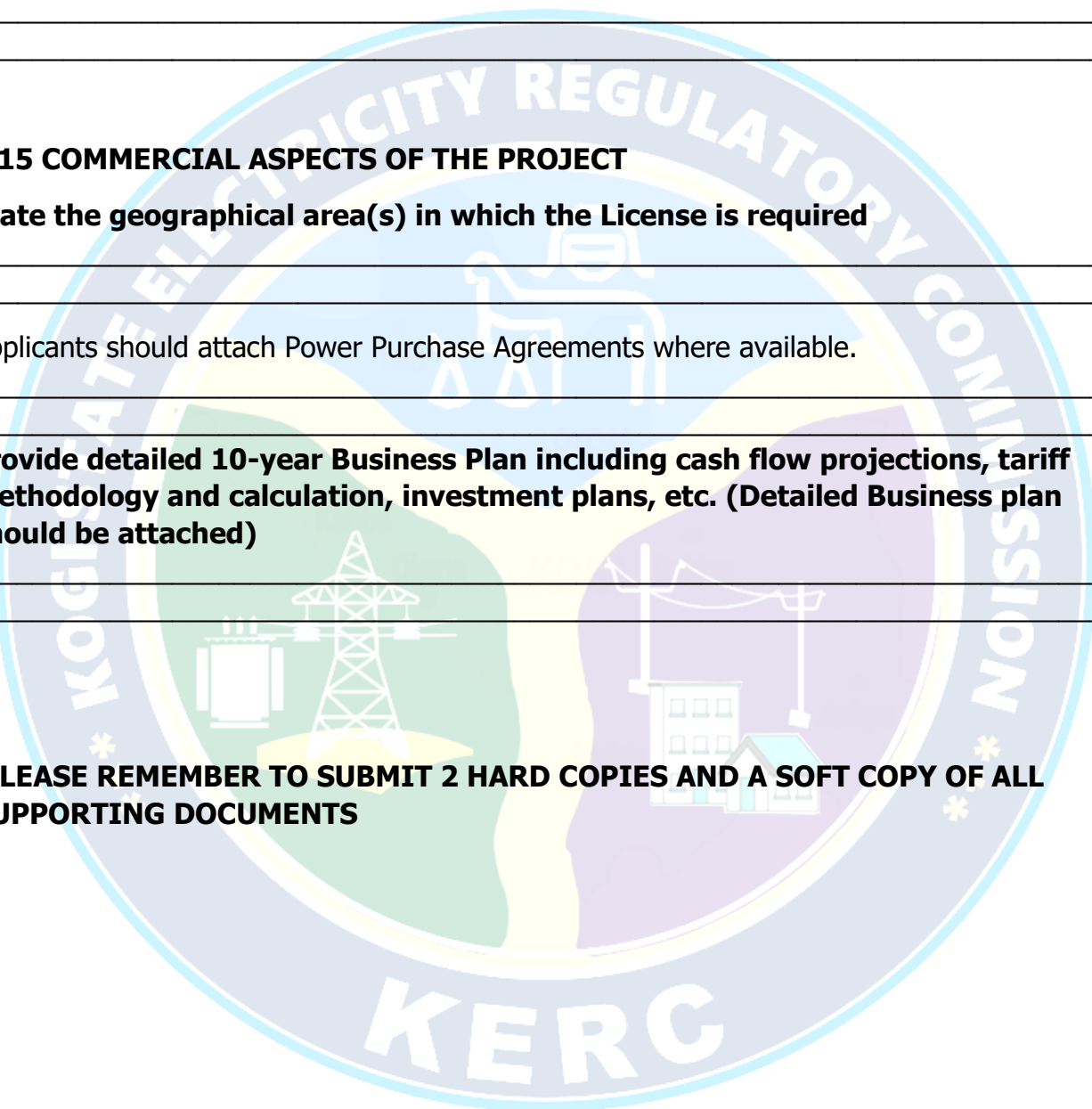
3.15 COMMERCIAL ASPECTS OF THE PROJECT

State the geographical area(s) in which the License is required

Applicants should attach Power Purchase Agreements where available.

Provide detailed 10-year Business Plan including cash flow projections, tariff methodology and calculation, investment plans, etc. (Detailed Business plan should be attached)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



4.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

The project is not unlawful or contrary to the interest of the Federal Republic of Nigeria. I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name:

Name:

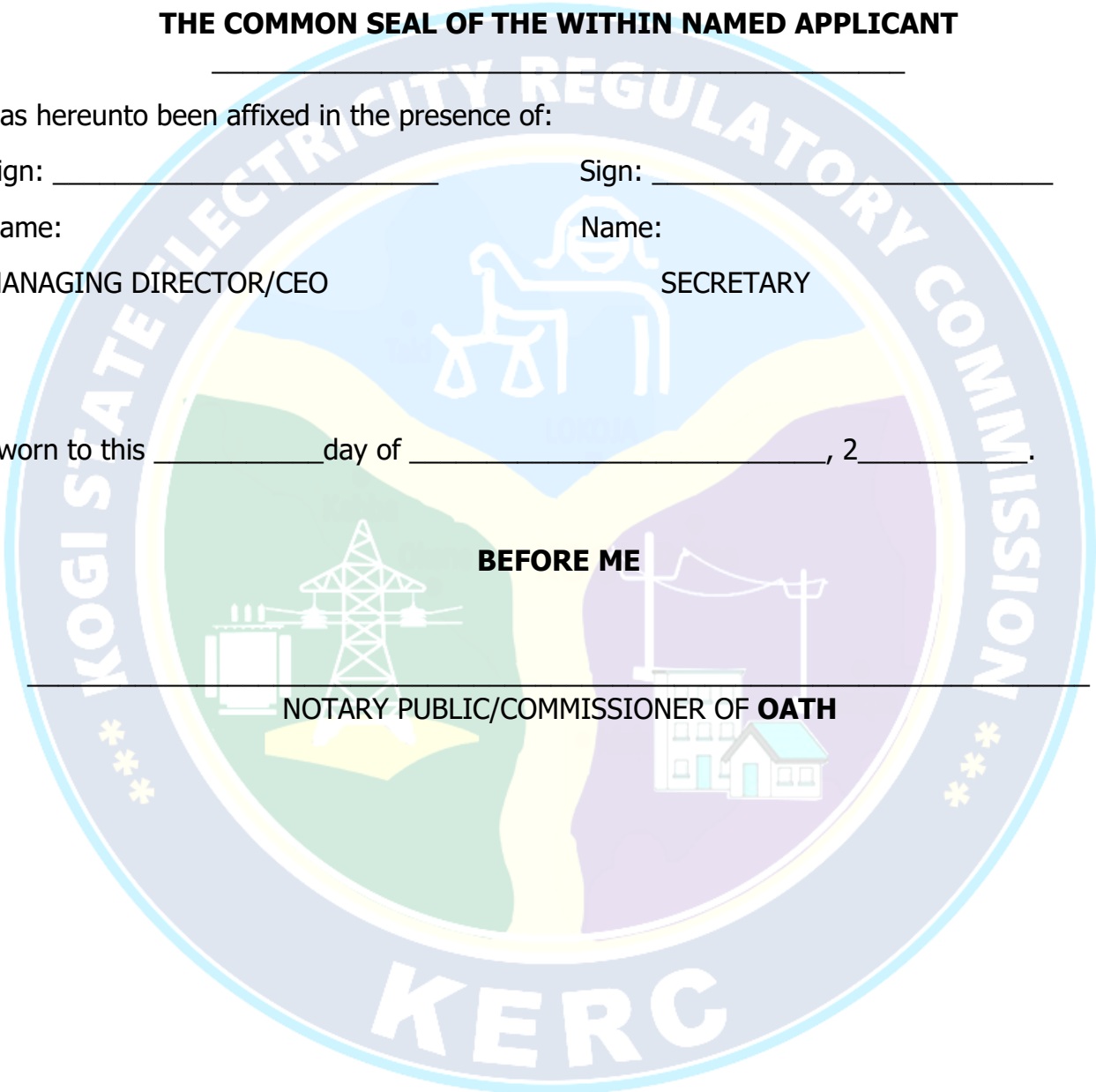
MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH



FOR OFFICIAL USE ONLY

1. Date of submission of Application _____

2. Fees Paid and Receipt Number _____

3. Results of Verification for completeness

4. Newspapers and Dates in which Application is advertised:

5. Results of any Public Hearing

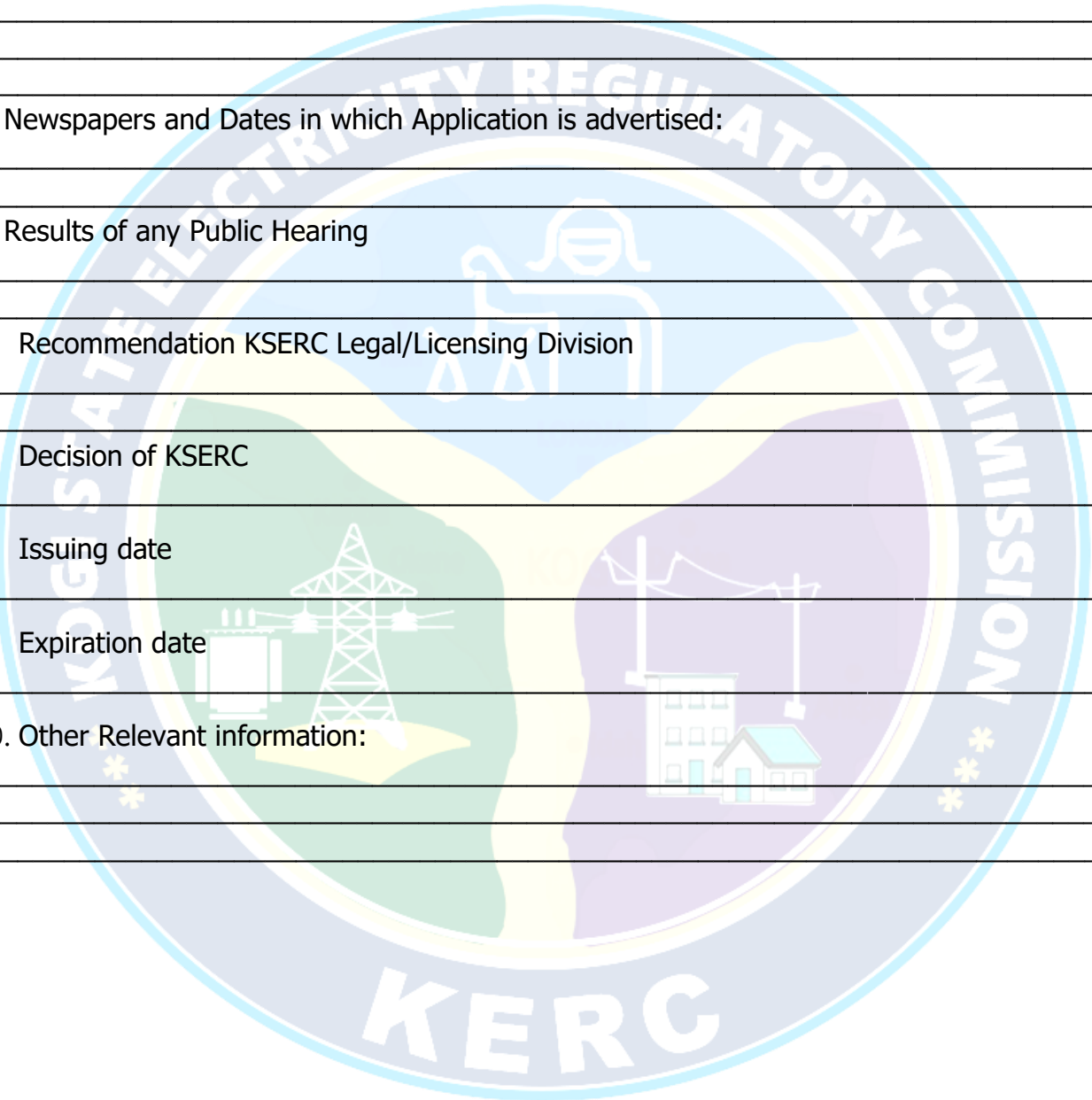
6. Recommendation KSERC Legal/Licensing Division

7. Decision of KSERC

8. Issuing date

9. Expiration date

10. Other Relevant information:





SCHEDULE 3

APPLICATION FORM FOR AMENDMENT OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1.0 PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name of Proposed Business if Different from above

Name: _____

Location: _____

Postal address: _____

Tel: _____

Mobile phone: _____

E-mail: _____

Website Address: _____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License

(a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense? _____ Yes _____ No

2.2 Previous Application(s)

(a) Have you applied previously for an amendment of the terms and conditions of the License?

(b) Has the Applicant ever been refused an application to amend the terms and conditions of the License?

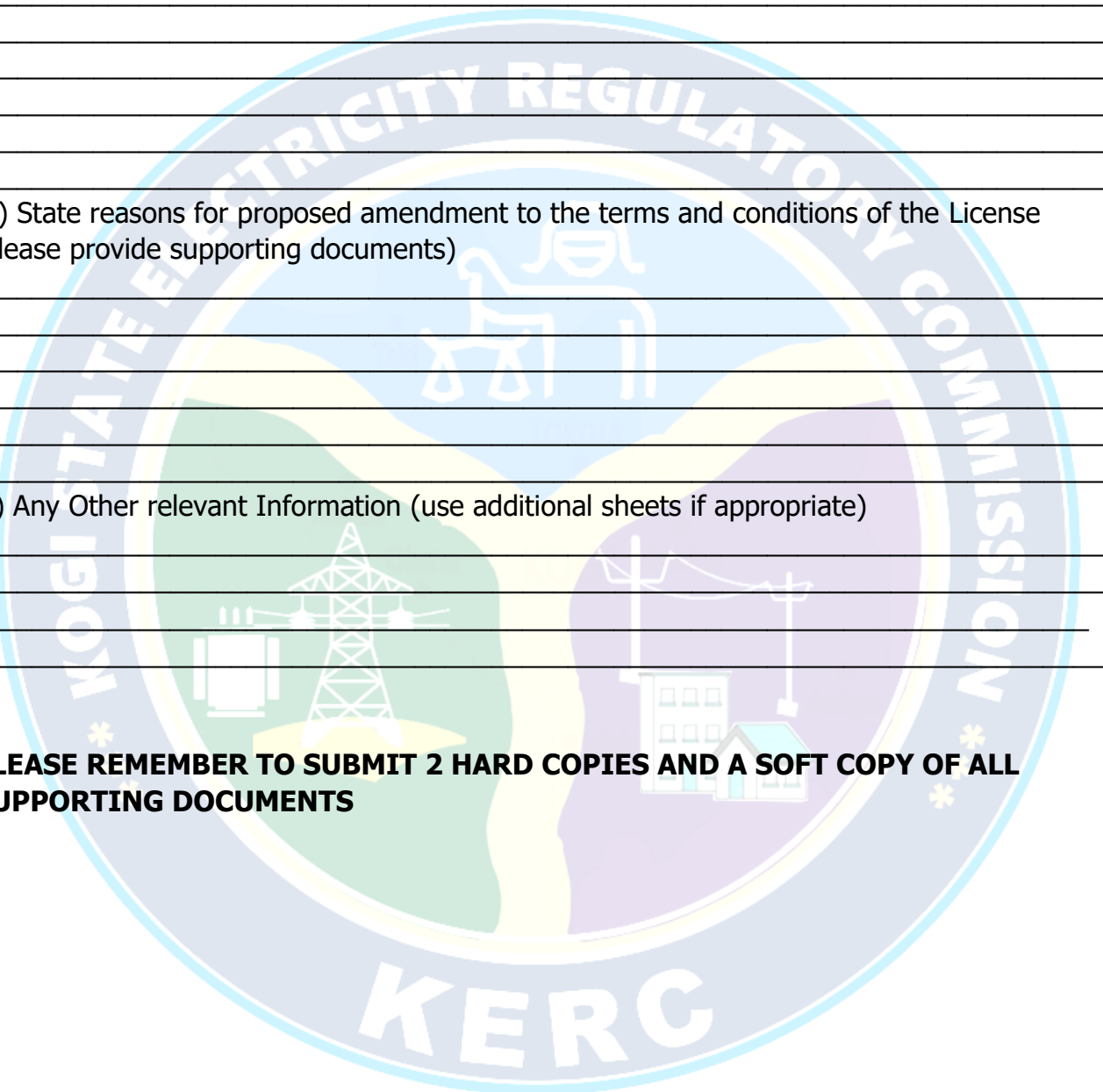
If yes, give details of the refusal.

2.3 Proposed Amendment(s) (a) State the Term(s), Condition(s), and/or Part(s) of the License to be affected by the proposed amendment

(b) State reasons for proposed amendment to the terms and conditions of the License (please provide supporting documents)

(c) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name: _____

Name: _____

MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF **OATH**

FOR OFFICIAL USE ONLY

1. Date of submission of Application for Amendment

2. Fees Paid and Receipt Number

3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

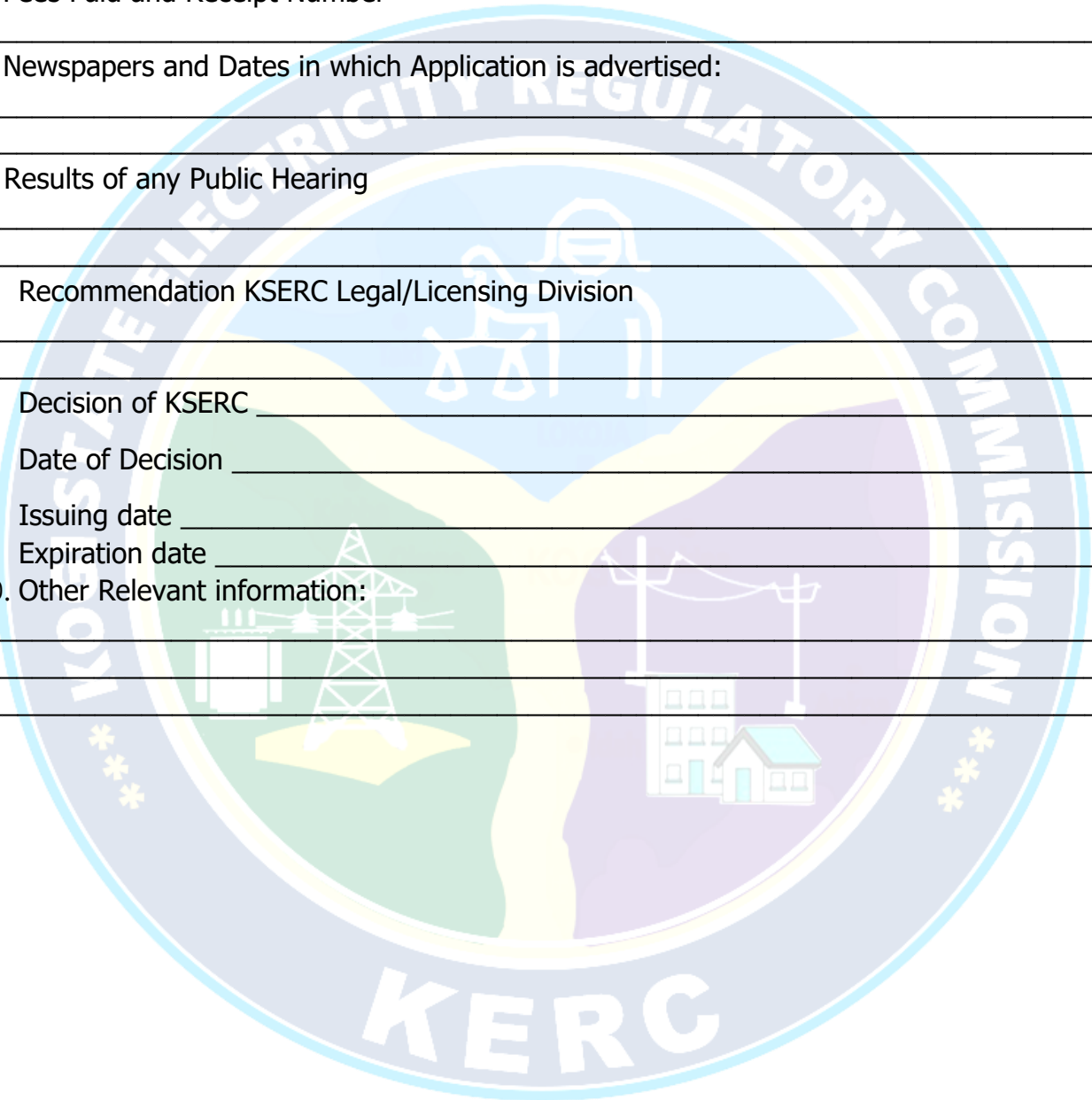
6. Decision of KSERC

7. Date of Decision

8. Issuing date

9. Expiration date

10. Other Relevant information:





SCHEDULE 4 APPLICATION FORM FOR RENEWAL OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1. PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name and details of Contact Person

Name: _____

Physical Address: _____

Postal Address: _____

Tel: _____

Mobile phone_____

E-mail_____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License (a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

2.2 Previous Application(s)

(a) Have you applied previously for a renewal of your License?

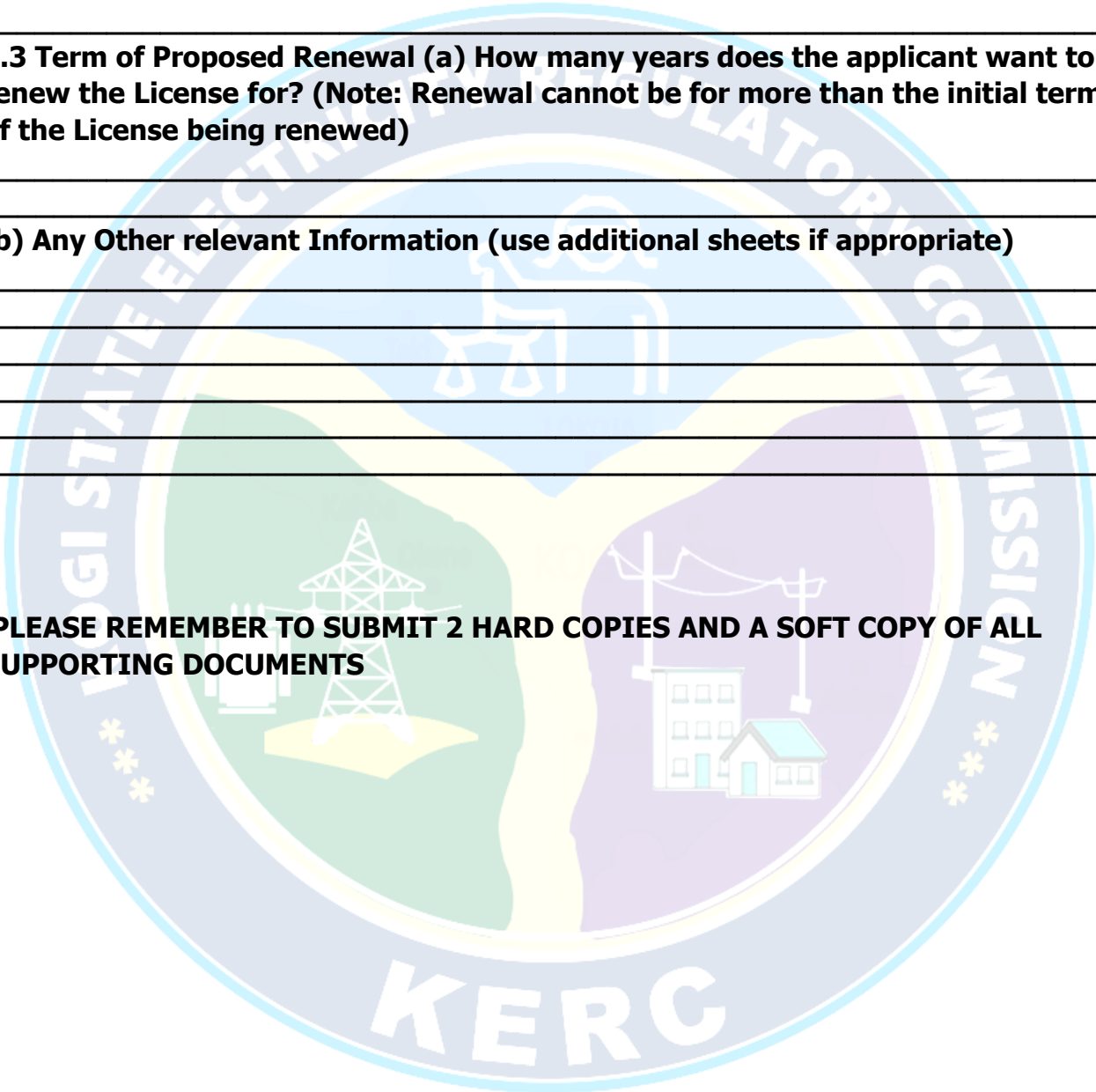
(b) Has the Applicant ever been refused an application to renew the License?

If yes, give details of the refusal.

2.3 Term of Proposed Renewal (a) How many years does the applicant want to renew the License for? (Note: Renewal cannot be for more than the initial term of the License being renewed)

(b) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name: _____

Name: _____

MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF **OATH**

FOR OFFICIAL USE ONLY

1. Date of submission of Application for Renewal _____

2. Fees Paid and Receipt Number _____

3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

6. Decision of KSERC _____

7. Date of Decision _____

8. Issuing date _____

9. Expiration date _____

10. Other Relevant information:



SCHEDULE 5
APPLICATION FORM FOR EXTENSION OF LICENSE

(Pursuant to S.12(3)(e) of the Kogi State Electricity Law, 2024)

IMPORTANT NOTE: Your application is incomplete unless all required documents are submitted, and the application is accompanied by the appropriate processing fee

1. PARTICULARS OF APPLICANT

1.1 Names, Physical and Postal Address of Applicant

Name: _____

Physical address: _____

Postal address: _____

Tel: _____

Mobile Phone: _____

E-mail: _____

Website Address: _____

1.2 Name and details of Contact Person

Name: _____

Physical Address: _____

Postal Address: _____

Tel: _____

Mobile phone _____

E-mail _____

2.0 TYPE OF EXISTING LICENSE (tick relevant type)

License Type		Tick appropriately
GENERATION	Grid-Connected	☐
	Off-grid	☐
	Embedded	☐
DISTRIBUTION	Grid-Connected	☐
	Off-grid	☐
TRANSMISSION		☐
SYSTEM OPERATION		☐
TRADING		☐

2.1 Current License (a) License Number

(b) Expiration date of the License

(c) Has the Applicant ever been refused a License or had its License suspended and/or cancelled by the Commission?

If yes, give details of the refusal, suspension, and/or cancellation.

(d) Does the area of business operation or Plant cover a building or place occupied by the Federal Ministry of Defense?

_____ Yes _____ No

2.2 Previous Application(s) (a) Have you applied previously for the extension of the tenure of your License?

(b) Has the Applicant ever been refused an application to extend the tenure of the License?

If yes, give details of the refusal.

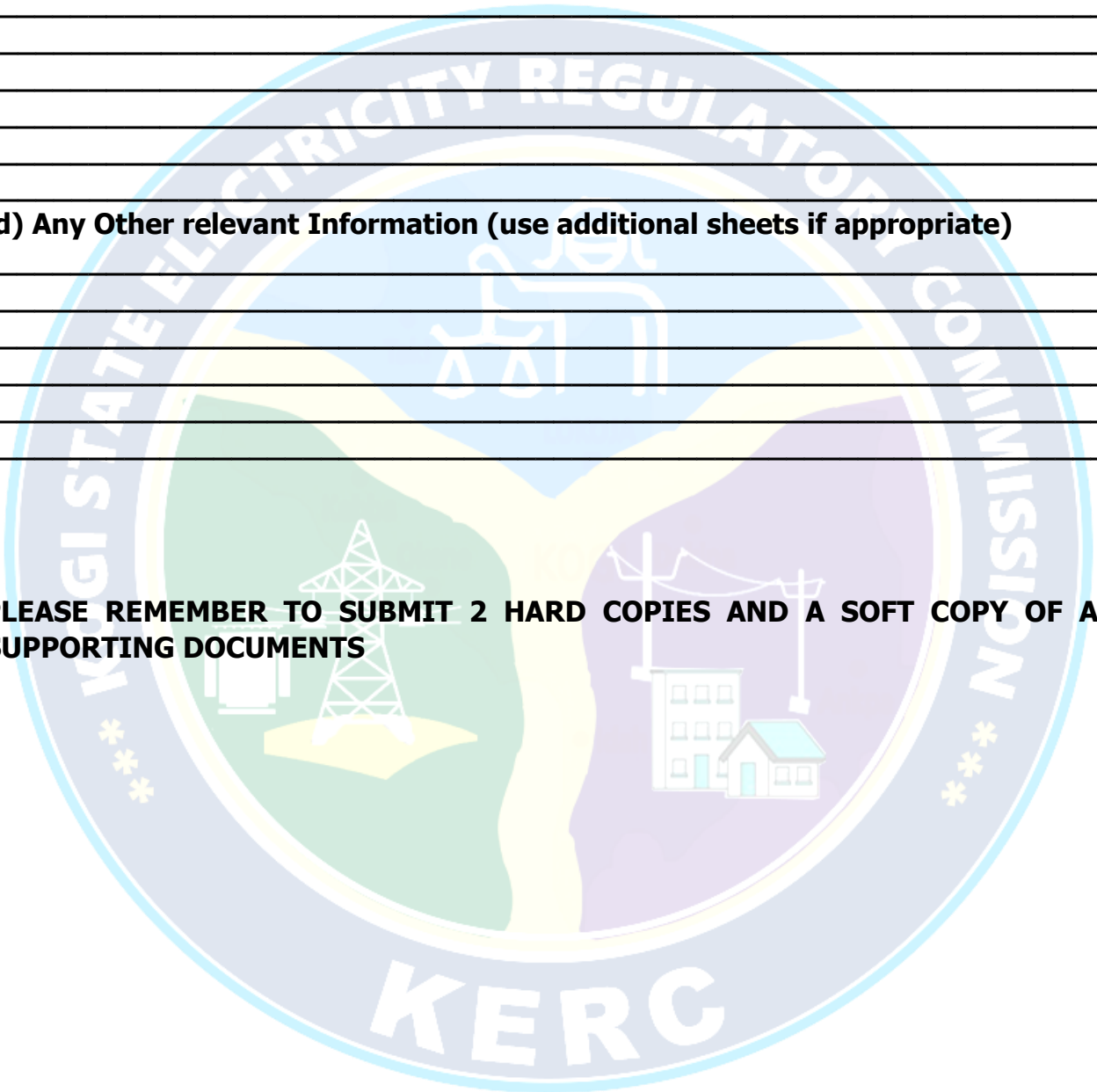
2.3 Term of Proposed Tenure Extension

(a) How many years does the applicant want to extend the tenure of the License? (Note: Extension cannot be for more than 5years)

(b) Reasons for applying for tenure extension (use additional sheets if appropriate)

(d) Any Other relevant Information (use additional sheets if appropriate)

PLEASE REMEMBER TO SUBMIT 2 HARD COPIES AND A SOFT COPY OF ALL SUPPORTING DOCUMENTS



3.0 DECLARATION BY THE APPLICANT [Notary Public Seal and Attestation Required]

I/we hereby declare that the details stated above are, to the best of my/our knowledge, true and correct.

Dated this _____ day of _____, 2_____.

THE COMMON SEAL OF THE WITHIN NAMED APPLICANT

Has hereunto been affixed in the presence of:

Sign: _____

Sign: _____

Name:

Name:

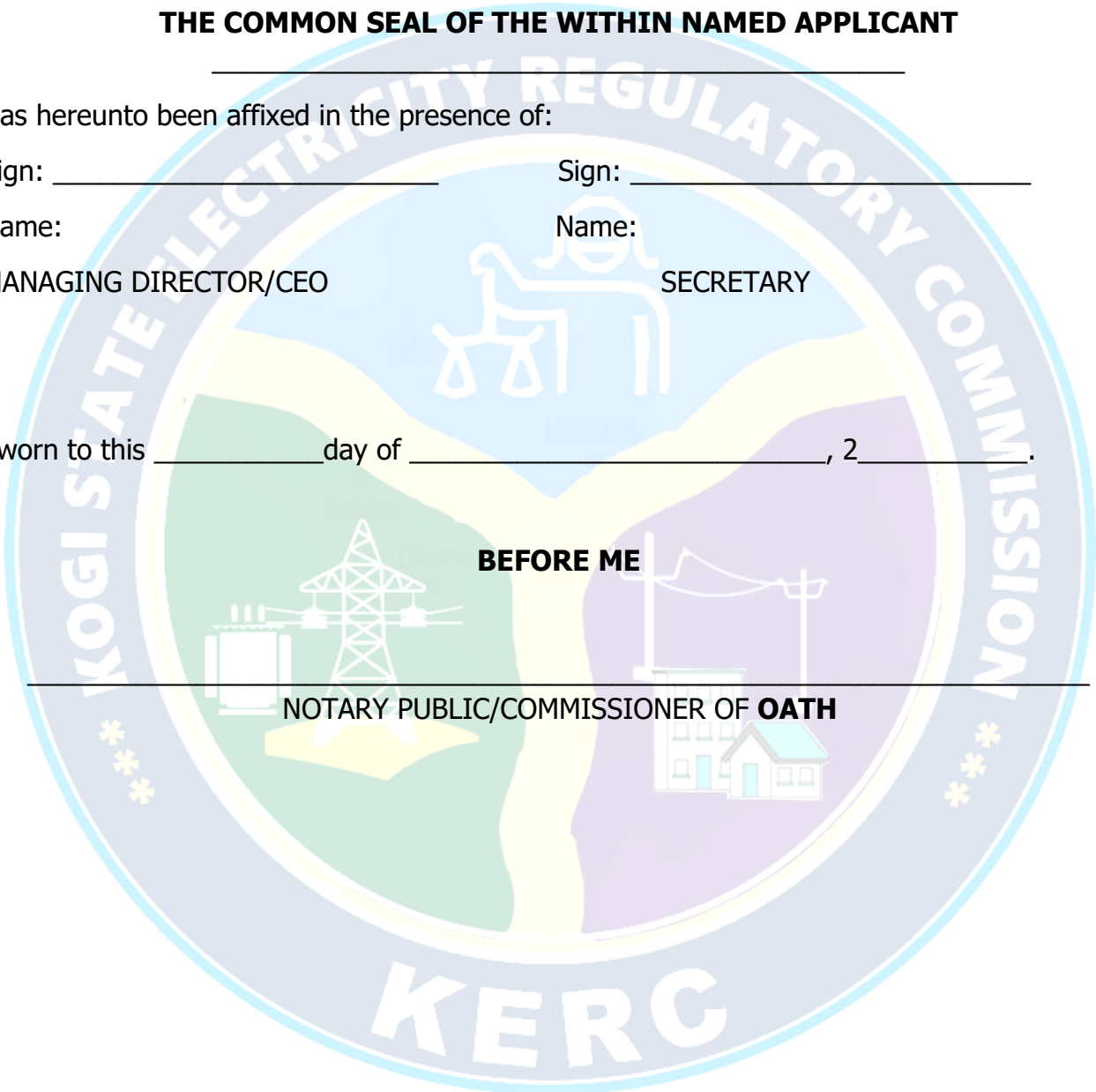
MANAGING DIRECTOR/CEO

SECRETARY

Sworn to this _____ day of _____, 2_____.

BEFORE ME

NOTARY PUBLIC/COMMISSIONER OF OATH



FOR OFFICIAL USE ONLY

1. Date of submission of Application for Renewal _____
2. Fees Paid and Receipt Number _____
3. Newspapers and Dates in which Application is advertised:

4. Results of any Public Hearing

5. Recommendation KSERC Legal/Licensing Division

6. Decision of KSERC _____
7. Date of Decision _____
8. Issuing date _____
9. Expiration date _____
10. Other Relevant information:

ENGR: IBRAHIM SUNDAY ABDINAAIS

[Signature]
14/07/2025

